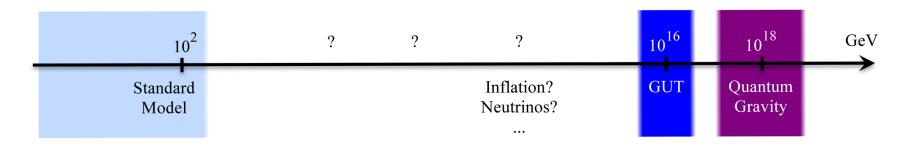
Advances in String Phenomenology

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Frontiers in high-energy physics



Quantum Field Theory

- powerful universal framework
- quantitative description of particle physics interactions at the precision level

General Relativity

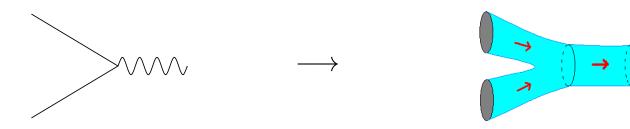
- classically very successful
- non-renormalisable as perturbative QFT (effective theory)

Approaches to fundamental Quantum Theory of Gravity:

- modify quantization procedure (e.g. Loop Quantum Gravity)
- modify dynamics in the UV, but not the 'kinematics': String Theory

String Theory

Particles are quantum excitations of 1-dimensional strings of length ℓ_s .



Resulting theory

- is ultra-violet finite in 10 spacetime dimensions
- flows at smaller energies to well-probed concepts of Quantum Field Theory ↔ open strings
 General Relativity ↔ closed strings
- is thus a candidate ultra-violet regulator of QFT and General Relativity.

String theory

Internal consistency comes with extra degrees of freedom:

- 10-dimensional theory
- Supersymmetric in the UV* *(In absence of dilation tadpoles)
- Infinite tower of massive states, ...

Two possible conclusions:

- Discard theory and look for alternatives
 - but the stakes are high
- Stick to string theory and investigate its consequences

S. Weinberg:

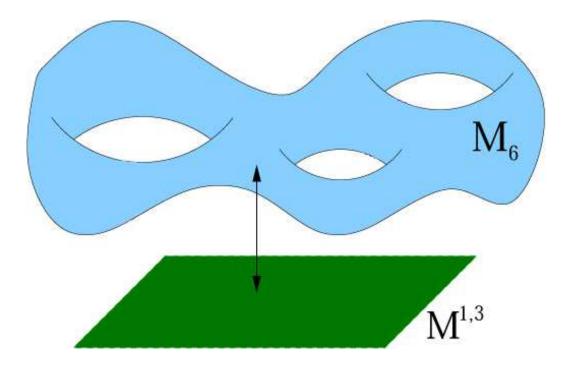
Our mistake is not that we take our theories too seriously, but that we do not take them seriously enough.



String compactification

To arrive at 4 large extra dimensions we need to compactify 6 dimensions. Ansatz for spacetime: $\mathcal{M}^{1,9} = \mathcal{M}^{1,3} \times \mathcal{M}_6$

- $\mathcal{M}^{1,3}$: four extended spacetime dimensions (maximally symmetric)
- \mathcal{M}_6 : compactification space
- in general warped product, unwarped also possible



String Landscape

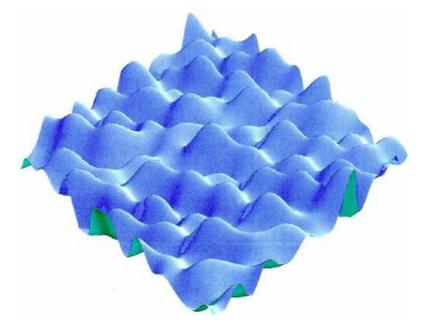
String vacuum = background value for string fields which constitute solution to string equations of motion

- Metric $\langle g_{ij} \rangle$: internal background geometry \mathcal{M}_6
- Generalized field strengths: $\langle F_{i_1...i_p} \rangle$: 'fluxes'

Each vacuum makes definite predictions for 4-dim. effective field theory, which are explicitly testable.

Challenges:

- There are many vacua the 'string landscape'.
 What are their properties?
 Classification?
- Which vacuum is 'ours'?
 Is there a dynamical selection principle, or are all vacua realized in another universe ?



String Phenomenology

Aim: String solutions to 4d field theory challenges in phenomenology

 $\begin{array}{ccc} Geometry/topology \ of \\ compactification \ space \end{array} & \begin{array}{c} 4d \ effective \\ physics \end{array}$

- Production of hierarchies via interplay of higher-dimensional geometric scales or via other stringy effects (e.g. stringy instantons)
- Exploit higher-dimensional profile of particle fields in flavour sector or in (gauge) symmetry breaking
- Natural stringy origin to otherwise adhoc symmetries in particle physics and cosmology (inflation)
 - \leftrightarrow Consistent embedding of IR-global symmetries into framework of quantum gravity

String Pheno at work

1) Early-universe (string) cosmology

- Inflation requires explicit knowledge of higher dimensional operators in full quantum gravity
- Use UV completion of global symmetries within string theory to protect effective action
- Especially well-motivated in context of large-field inflation $\Delta \Phi \simeq M_{\rm Pl.}$ (sizable tensor-scalar ratio)

2) Cosmological constant problem

- Can sensibly be addressed only in UV finite theory
- Multitude of string vacua might help realize finite-tuning of CC

3) Particle physics

- Many approaches in various string corners
- This talk: F-theory (GUT) model building

Outline

- I.) Invitation to String Phenomenology
- II.) GUTs from F-theory Generalities
- **III.)** Basics of SU(5) GUT model building GUT breaking - Doublet-Triplet Splitting - Proton decay
- **IV.)** New F-theory Technology for model building U(1) and discrete symmetries - Yukawas - Non-SUSY GUTs

II.) GUTs from F-theory - Generalities

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F-theory GUTs

Assumption:

Unification at $M_{\text{GUT}} = 2 \times 10^{16}$ GeV is no coincidence. **F-theory GUTs** aim at

- addressing typical challenges of GUT models with stringy methods
- providing rationale for observed patterns

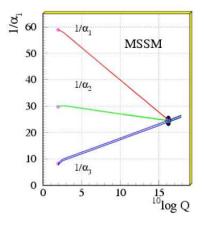
Two key ingredients from F-theory:

- Localisation of gauge d.o.f.
- exceptional symmetry groups

F-GUT programme: initiated in [Donagi,Wijnholt][Beasley,Heckman,Vafa]'08

 \leftrightarrow

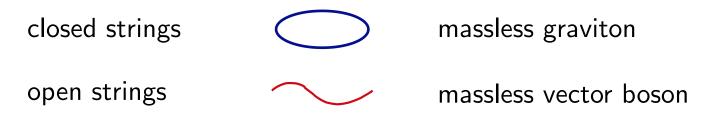
- Particle physics applications \leftrightarrow 4-folds, G_4 -fluxes, ...
- Exploring non-perturbative geometric corner of string landscape
 ↔ more general than initial SUSY-GUT idea!



hierarchy $\frac{M_{\rm GUT}}{M_{\rm Pl}} \simeq 10^{-3}$

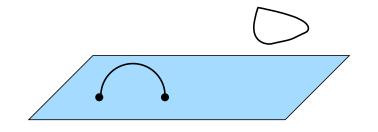
Yukawa couplings/flavour

7-branes in string theory

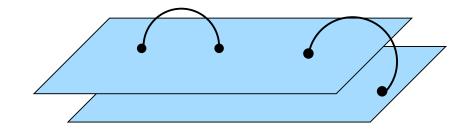


7-brane = (7+1)-dim. subspace of in $\mathcal{M}^{1,9}$ on which open strings end

one single 7-brane $\rightarrow single$ gauge boson

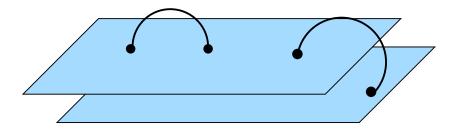


stacks of N coincident 7-branes $\rightarrow U(N)$ gauge bosons

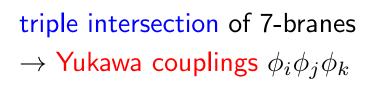


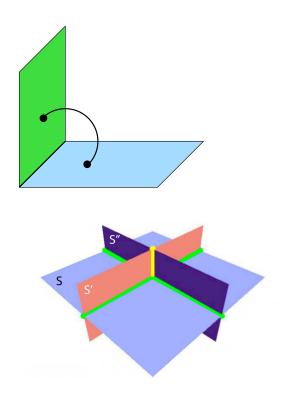
7-branes in string theory

stacks of N coincident 7-branes $\rightarrow U(N)$ gauge bosons



7-branes intersecting at an 'angle' \rightarrow matter fields in bifundamental representation (\overline{N}_a, N_b)

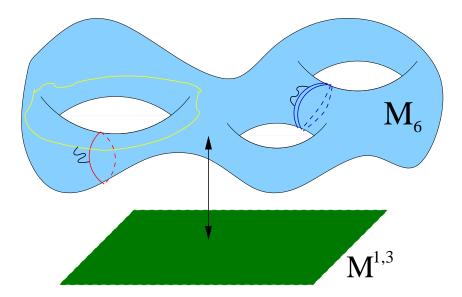


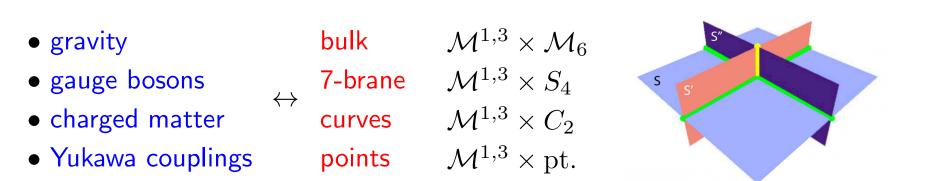


7-branes in string theory

Compactification:

- $\mathcal{M}^{1,9} = \mathcal{M}^{1,3} \times \mathcal{M}_6$
- 7-brane:
 - fills $\mathcal{M}^{1,3}$
 - wraps 4-dim. subspace (4-cycle) S_4 of \mathcal{M}_6

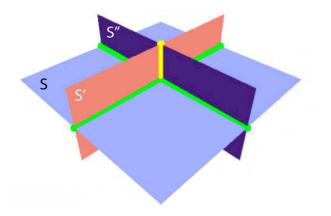




Localisation with 7-branes

- $\mathbb{R}^{1,3} imes \mathcal{M}_6$ bulk • gravity 7-brane $\mathbb{R}^{1,3} \times S_4$ • gauge bosons \leftrightarrow curves $\mathbb{R}^{1,3} \times C_2$ • charged matter
- Yukawa couplings

points $\mathbb{R}^{1,3} \times \mathrm{pt.}$



Exploit different scales to account for hierarchy $M_{\rm GUT} = 10^{-3} M_{\rm Pl}$

• $S_{10D} = M_*^8 \int_{\mathbb{R}^{1,3} \times \mathcal{M}_6} \sqrt{-g} R$ $M_{\rm Pl.}^2 \sim M_*^8 \operatorname{Vol}(\mathcal{M}_6)$ $\alpha_{\text{CUT}}^{-1} \sim M_*^4 \operatorname{Vol}(S_4)$ • $S_{\rm YM} = M_*^4 \int_{\mathbb{R}^{1,3} \times S_4} F^2$ \leftrightarrow $M_{\rm GUT}^4 \sim {\rm Vol}(S_4)^{-1}$ • GUT breaking

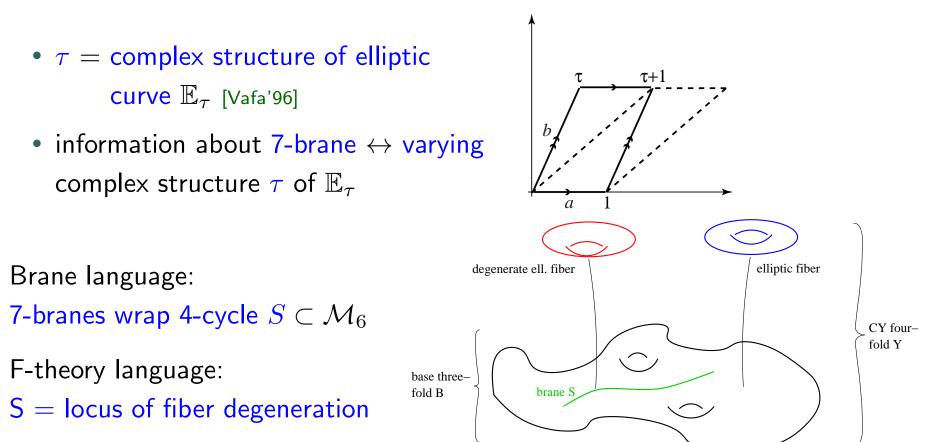
arrange Vol(S_4), Vol(\mathcal{M}_6), $M_* = \ell_s^{-1}$ in agreement with GUT physics:

 $\ell_s < R_{S_4} < R_{\mathcal{M}_6}$ $x = 10^{-16} \text{GeV}^{-1}$ 5.6x2.2x0.2x $\operatorname{Vol}(S_4) =: R_{S_4}^4, \qquad \operatorname{Vol}(\mathcal{M}_6) =: R_{\mathcal{M}_6}^6$

The magic of F-theory

F-theory encodes 7-branes in elliptically fibered $4_{\mathbb{C}}$ -fold Y_4 .

• A 7-brane acts as a magnetic source for a complex scalar field τ . $\Rightarrow \tau$ varies holomorphically normal to the 7-branes.

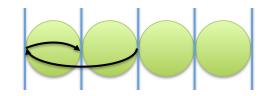


Non-abelian gauge symmetry

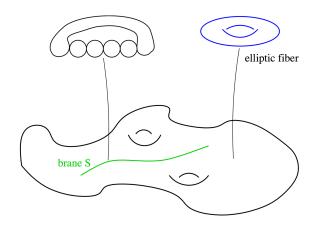
Singularity type in co-dim. $1_{\mathbb{C}} \leftrightarrow$ gauge group G along 7-brane

• replace singular point in fibre by tree of \mathbb{P}^1_i $i = 1, \ldots, \operatorname{rk}(G)$

- Group theory of $G \iff$ extended Dynkin diagram
- Each node of Dynkin diagram
 ↔ stretched open strings
 - $\equiv G$ -gauge bosons

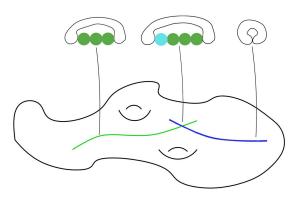


SU(5)-bosons over 4-cycle $S \subset B_3$: \Leftrightarrow SU(5)-GUT theory on 7-brane



SU(5) GUT models in F-theory

Further singularity enhancement at intersection of S and single branes D



a) matter: enhancement of singularity type on intersection $S \cap D$

- [Katz,Vafa '96] • $SU(6) \rightarrow SU(5) \times U(1)$ $\mathbf{35} \rightarrow \mathbf{24} + 1 + \mathbf{5} + \overline{\mathbf{5}}$ $\Longrightarrow \overline{\mathbf{5}}_m = (d_R^c, L) \quad \text{or} \quad \mathbf{5}_H + \overline{\mathbf{5}}_H$
- $SO(10) \rightarrow SU(5) \times U(1)$ $45 \rightarrow 24 + 1 + 10 + \overline{10}$ $\implies \mathbf{10} = (Q_L, u_R^c, e_R^c)$

b) Yukawas: Intersection of curves at points [BHV: DW] '08

- $\langle \mathbf{10}\,\overline{\mathbf{5}}\,\overline{\mathbf{5}}\rangle \subset \langle (\mathbf{66})^3 \rangle$ of SO(12)

as in perturbative Type IIB

• $\langle 10\,10\,5\rangle \subset \langle (78)^3\rangle$ of E₆ (only) truly F-theoretic input

Putting things in perspective

Strengths of this approach:

- Much of physics is geometrised even D(-1)-instantons: [Billo,Frau,Giacone,Lerda,Pesando,...]
- Complex geometry guarantees compatibility of non-perturbative branes \rightarrow exceptional symmetry as required for GUT physics

Drawback:

• No direct worldsheet techniques available

Complementary type of constructions overcome this difficulty: perturbative Type I/ Type II orientifolds/orbifolds starting with [Bianchi,Sagnotti'89]/[Gimon-Polchinski'96]; chirality implemented in [Angelantonj,Bianchi,Pradisi,Sagnotti,Stanev'96] ✓ explicit control over string tower

 \checkmark direct evaluation of string amplitudes at high level of sophistication

Gauge backgrounds

Matter states in $\mathbb{R}^{1,3}$:

 \leftrightarrow wave functions on $2_{\mathbb{R}}$ -dim. matter curve C_R

Inspiration from 2-dim. Euclidean QFT:

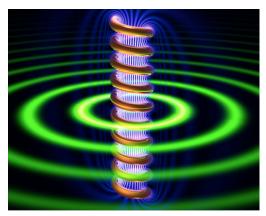
of charged fermionic zero modes \leftrightarrow background gauge field A

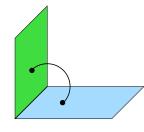
- chiral index: $\operatorname{ind}_{\not D} = \nu_+ \nu_- = \frac{1}{2\pi} \int_{C_R} F \qquad D = \partial ieA$
 - known via anomalies (Fujikawa): $\operatorname{ind}_{D} = \frac{1}{2} \partial_{\mu} j_{5}^{\mu}$
 - Atiyah-Singer-index theorem

 $\leftrightarrow \mathsf{field} \mathsf{ strength} \ F$

on top. non-trivial C_R:
 ∮ A affects non-chiral spectrum

 $\begin{array}{l} \textbf{Gauge background} \\ \leftrightarrow \textbf{massless spectrum} \end{array}$





III.) Specifics of SU(5) GUT model building

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SU(5) GUT model building

Challenges of SU(5) GUT theory:

- 1. SU(5) GUT breaking
- 2. Doublet-Triplet splitting in Higgs sector
- 3. Proton Decay
- 4. Detailed Flavour structure, μ -term, ...

Two principal model building ideas in F-theory:

- GUT breaking via Hypercharge flux [BHV,DW '08]
- Splitting of matter curves

Status of these two ingredients:

- at first sight local (\equiv depending only on GUT brane S)
- on closer inspection, they require understanding of global details

triggered a lot of formal progress with many important questions still open

Hypercharge flux GUT breaking

SU(5) field strength on 7-brane



 $4 \operatorname{largedim}$. $\operatorname{along} S$

Decomposition:

$$F = \sum_{a} T^{a}_{SU(3)} F_{a} + \sum_{i} T^{i}_{SU(2)} F_{i} + T^{Y} F_{Y}$$

hypercharge generator $T_Y = \text{diag}(-2, -2, -2, 3, 3) \subset SU(5)$

Vacuum expectation value $\langle F_Y \rangle = \langle dA_Y \rangle \neq 0$ on GUT brane S

•
$$SU(5) \longrightarrow SU(3) \times SU(2) \times U(1)_Y$$

 $24 \rightarrow (8, 1)_{0_Y} + (1, 3)_{0_Y} + (1, 1)_{0_Y} + (3, 2)_{5_Y} + (\overline{3}, 2)_{-5_Y}$
 $\overline{5} \rightarrow (\overline{3}, 1)_{2_Y} + (1, 2)_{-3_Y}$
 $10 \rightarrow (3, 2)_{1_Y} + (\overline{3}, 1)_{-4_Y} + (1, 1)_{6_Y},$
 $5_H \rightarrow (3, 1)_{-2_Y} + (1, 2)_{3_Y}, \quad \overline{5}_H \rightarrow (\overline{3}, 1)_{2_Y} + (1, 2)_{-3_Y}$

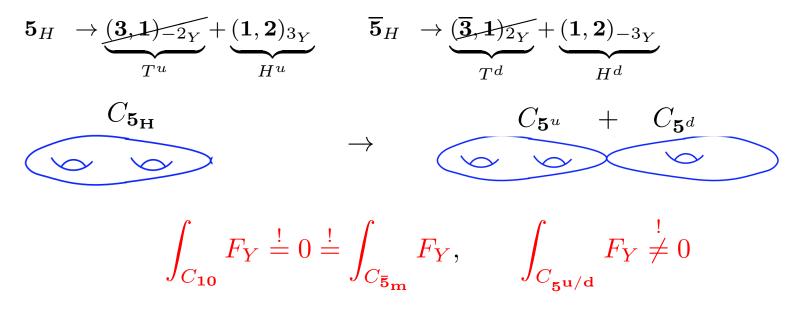
do not introduce exotic chiral multiplets in $(\mathbf{3}, \mathbf{2})_{5_Y} + (\overline{\mathbf{3}}, \mathbf{2})_{-5_Y}$ from 24 on bulk of $S \checkmark$

Doublet-Triplet Splitting

1) Matter from complete GUT multiplets on curves e.g. $10 \rightarrow (3,2)_{1_Y} + (\overline{3},1)_{-4_Y} + (1,1)_{6_Y}$

$$\int_{C_{10}} F_Y \stackrel{!}{=} 0 \stackrel{!}{=} \int_{C_{\overline{\mathbf{5}}_{\mathbf{m}}}} F_Y$$

2) Higgs from incomplete GUT multiplets on split curves



Crucial for success: Hierarchies of localisation of states

Proton Decay

Conventional SU(5) GUTs suffer from too large proton decay

Dimension 4: $W \subset \lambda \mathbf{10} \,\overline{\mathbf{5}}_{\mathbf{m}} \,\overline{\mathbf{5}}_{\mathbf{m}}$

- gives rise to R-parity violating $u_R^c \, d_R^c \, d_R^c$, $L \, L \, e_R^c$, $Q \, L \, d_R^c$
- Experimental bound: $\lambda \leq 10^{-12}$
- Necessary condition: $C_{5_{m}}$, $C_{5_{H}}$ are different curves [BHV '08] otherwise: $10 \overline{5}_{m} \overline{5}_{H}$ implies $10 \overline{5}_{m} \overline{5}_{m}$
- Curve split is not sufficient see later [Watari et al.'09]

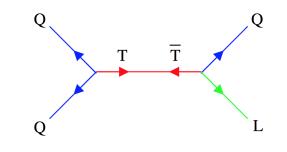
Dimension 6:

- From exchange of heavy gauge bosons in $(\mathbf{3}, \mathbf{2}) + (\overline{\mathbf{3}}, \mathbf{2})$
- decay rate is well within experimental bounds if $M_{\rm GUT} = 10^{16} {\rm GeV}$ \rightarrow no problem in SUSY GUTs \checkmark

Proton decay

Dimension 5: focus on effective terms of type $W \supset \frac{c^2}{M_{\text{eff}}} \mathbf{10} \, \mathbf{10} \, \mathbf{10} \, \mathbf{\overline{5}_m}$

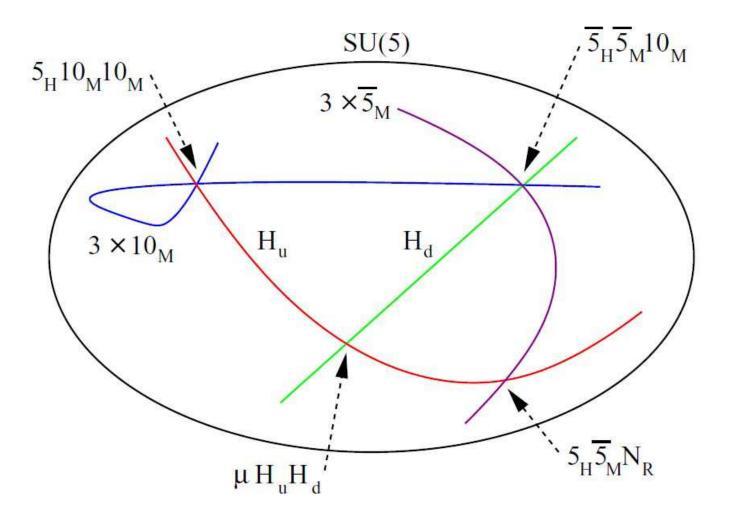
- effective terms of type $\frac{c^2}{M_{\rm eff}}(QQQL + u_R^c u_R^c d_R^c e_R^c)$
- via tripletino exchange, e.g. $5_{H} = (T_{u}, H_{u}), \overline{5}_{H} = (T_{d}, H_{d}):$ $QQT_{u} + QLT_{d} + M_{KK}T_{u}T_{d} \rightarrow \frac{1}{M_{KK}}QQQL$ $\leftrightarrow \text{ present if } T_{u}, T_{d} \text{ on same curve } C_{5_{H}}$



- Remedy: Missing partner mechanism $QQT_u + QLT_d + M_{KK}T_u\tilde{T}_d + M_{KK}\tilde{T}_uT_d$ \implies no integration to QQQL possible
- need H_u and H_d on two separate curves [BHV, DW '08]
- This also prevents an $\mathcal{O}(1)$ μ term $\mu H_u H_d \checkmark$ and realises doublet-triplet splitting \checkmark

SU(5) GUTs

Necessary conditions on **local** geometry of GUT brane:



Beasley, Heckman, Vafa, 0806.0102

IV.) New F-theory technology for model building

The need for U(1) symmetries

Problem:

[Watari et al.'09]

- local split of matter curves on S insufficient for absence of couplings
- dangerous Yukawa points will in general still be present

Sufficient criterion

[Marsano,Saulina,Schäfer-Nameki '09]

- Extra U(1) selection rules must distinguish between matter curves
- Generically pattern of Yukawas agrees with allowed charges
- Example $SU(5) \times U(1)$: $\mathbf{10}_{q_1}$ $(\mathbf{\bar{5}_m})_{q_2}$ $(\mathbf{5}_{\mathbf{H^u}})_{q_3}$ $(\mathbf{\bar{5}_{H^d}})_{q_4}$ $\mathbf{10} \, \mathbf{\bar{5}_m} \, \mathbf{\bar{5}_H} : q_1 + q_2 + q_4 \stackrel{!}{=} 0$ $\mathbf{10} \, \mathbf{10} \, \mathbf{5_H} : 2q_2 + q_3 \stackrel{!}{=} 0$ $\mathbf{10} \, \mathbf{10} \, \mathbf{5_H} : 2q_2 + q_3 \stackrel{!}{=} 0$ $\mathbf{10} \, \mathbf{10} \, \mathbf{5_m} : 3q_1 + q_2 \stackrel{!}{\neq} 0$

Technical Challenge:

- Understanding abelian gauge symmetries in F-theory
- U(1)s are beyond the local regime

U(1) groups in F-theory

General lore: [Morrison, Vafa'96]

• U(1) gauge potential from expansion of M-theory 3-form

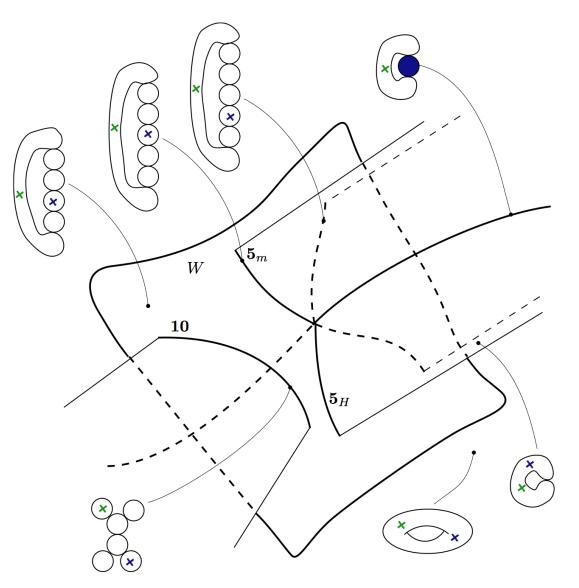
$$C_3 = \underbrace{A}_{4 \text{ dim.}} \land \underbrace{\mathsf{w}}_{\mathrm{on} Y_4} + \dots \qquad \mathsf{w} \in H^{1,1}(Y_4)$$

- presence of such new 2-forms w
 - ↔ geometric constraint on complex structure of 4-fold geometry
 - Y_4 must allow for extra rational sections \leftrightarrow Mordell-Weil group

Implementation in full 4-fold Y_4 : [Grimm, TW' 10], ...

- Matter curves on S automatically split in agreement with their charges
- New matter curves arise away from $S \Rightarrow$ charged SU(5)-singlets \leftrightarrow unHiggsing of U(1) \leftrightarrow candidate for N^c
 - \leftrightarrow candidate for N_R^c

U(1) groups in F-theory



Mayrhofer, Krause, TW 1109.3454

$SU(5) \times U(1) \times U(1)$

Example:

$$0 = b_{0,2}w^2s_0^2\mathsf{v}^2\mathsf{u} + c_{2,1}ws_0\mathsf{w}\mathsf{v}^2 + d_{0,2}w^2\mathsf{v}s_0^2s_1\mathsf{u}^2 + b_1s_0s_1\mathsf{w}\mathsf{v}\mathsf{u} + c_1\mathsf{w}^2\mathsf{v}s_1 + d_{2,2}w^2s_0^2s_1^2\mathsf{u}^3 + d_1s_0s_1^2\mathsf{w}\mathsf{u}^2 + b_2s_1^2\mathsf{w}^2\mathsf{u}$$

Curve on $\{w=0\}$	Matter representation	
$\{b_1 = 0\}$	$\mathbf{10_{-1,2}}$	10
$\{b_{0,2} = 0\}$	$\mathbf{5_{-3,1}}$	_
$\{c_{2,1} = 0\}$	$\mathbf{5_{2,-4}}$	$5_{\mathbf{H}^{\mathbf{u}}}$
$\{c_1 = 0\}$	$\mathbf{5_{2,6}}$	$\mathbf{5_m}$
$\{b_1b_2 - d_1c_1 = 0\}$	$\mathbf{5_{-3,-4}}$	$5_{\mathbf{H}^{\mathbf{d}}}$
$\{d_{2,2}b_1^2 + d_1(b_{0,2}d_1 - d_{0,2}b_1 = 0\}$	$\mathbf{5_{2,1}}$	—

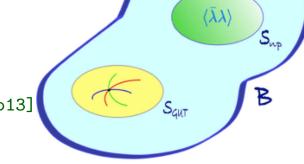
In addition: 6 charged singlet curves, including $1_{5,10} \equiv N_R^c$

Yukawa textures

Yukawas \leftrightarrow overlap of matter wavefunction at curve intersection point \rightarrow at first sight 'ultra-local'

Approach 1: All families from the same curve

- For single Yukawa point, mass matrix of rank 1 [BHV'08]
- Subleading non-pert corrections from D3/M5-instantons [Marchesano,Martucci'09] [Font,Ibanez,Marchesano13] $M_i \simeq (1,\epsilon,\epsilon^2)$ with $\epsilon \simeq e^{-S_{\mathrm{inst}}}$
- instantons are global data!



Font et al.,1307.8089

Approach 2: Different families from different curves

- U(1) selection rule allows for top coupling, but forbids others
- subleading correction either from instantons or due to Froggatt-Nielson mechanism after giving VEV to singlets [Palti'09], ...
- Both depends on global data.

Discrete symmetries

Studied in in various types of string constructions

[Camara,Marchesano,Ibanez][Uranga,Berasaluce et al.][Honecker,Staessens] '11-'15

One way to obtain discrete \mathbb{Z}_k gauge symmetry is by Higgsing U(1) with particle of charge k

- Higgs $\Phi = \varphi e^{ic}$: $A \to A d\chi$, $c \to c + k \chi$
- After integrating out φ : $S \simeq \int (dc + \mathbf{k}A)^2 + \dots$

Expectation in F/M-theory: [Camara, Marchesano, Ibanez][Grimm, Kerstan, Palti, TW]'11

• massive \mathbb{Z}_k gauge field and Stuckelberg axion c from expansion

 $C_3 = c \wedge \alpha_3 + A \wedge \mathsf{w}_2, \qquad \mathbf{dw_2} = \mathbf{k} \, \alpha_3$

• $\int_{11D} (dC_3)^2 \simeq \int_{11D} (dc \wedge \alpha_3 + A \wedge dw_2)^2 + \ldots \Longrightarrow \int (dc + kA)^2 + \ldots$

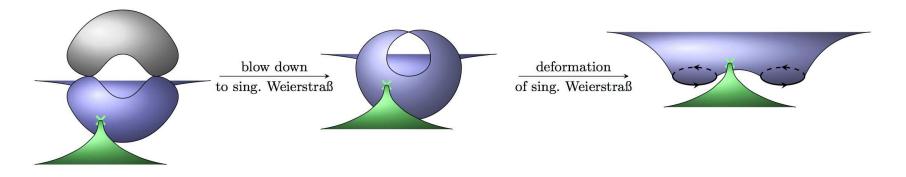
Thus smoking gun for \mathbb{Z}_k symmetry should be $\operatorname{Tor} H^3(Y, \mathbb{Z}) = \mathbb{Z}_k$

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Discrete symmetries in F-theory

Recent activities have studied implementation in F-theory [Morrison,Braun][Morrison,Taylor][(Anderson),Grimm,Keitel,Garcia-Etxebarria] [Klevers,Mayorga,Oehlmann,Piragua,Reuter] [Mayrhofer,Palti,Till,TW]'14

• Higgsing described as topology changing process



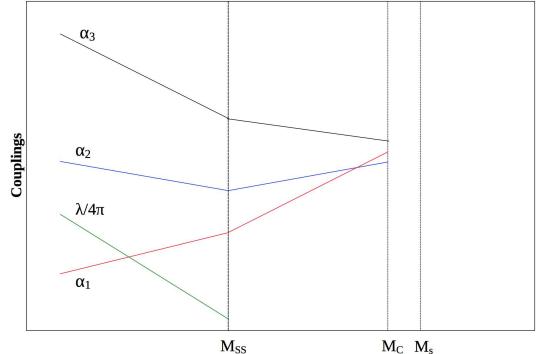
- Explicit verification of conjecture that full lattice of magnetic and electric line operators physically realized in quantum gravity
- Phenomenological payoff: $SU(5) \times \mathbb{Z}_2$ R-parity model

The F-theory dictionary

gauge theory data	geometric data	
non-abelian gauge algebra ${\mathfrak g}$	singularities in codim. 1	
gauge group G	torsional Mordell-Weil group *	
abelian gauge groups	free Mordell-Weil group *	
discrete gauge group factor	Tate-Shafarevich group *	
matter representations	singularities in codim. 2	
Yukawas	singularities in codim. 3*	

*: systematic understanding beginning in 2010

Intermediate-SUSY GUTs



[Ibanez,Marchesano,Regalado,Valenzuela'12]

- Push $M_{\rm SUSY}$ up to 10^{11} GeV, where quartic Higgs coupling $\lambda = 0$.
- Standard gauge coupling unification is destroyed.
- Effect can be cancelled in principle against hypercharge-flux correction of [Blumenhagen'08]

Scenario:

$$M_{\rm SUSY} = 10^{11} {\rm GeV}$$
 $M_{\rm GUT} = 10^{14} {\rm GeV}$

Claim:

Dimension 6 proton decay from X - Y boson exchange might be suppressed due to wavefunction distortion via hypercharge flux (See however [Hebecker, Unwin'14])



String theory is a well-founded conceptual framework that can serve as top-down guidance for model building

F-theory GUTs as <u>one example</u> for fruitful interplay internal geometry \leftrightarrow field theory

Key question for phenomenology: Status of TeV-scale supersymmetry?

- many realizations of low-energy SUSY in string compactifications (e.g. via large volume compactifications of Type IIB with fluxes)
- But string theory does not require low-energy SUSY to work
 not even in GUT context!
- Intermediate SUSY with interesting implications for Higgs mass Status of high-scale SUSY breaking? stability?

We have only started to explore the string solution space!