

# **Quantum mechanical simulation of crystalline systems**

Roberto Dovesi

Gruppo di Chimica Teorica  
Dip. Di Chimica IFM  
Università degli Studi di Torino

# Why simulation? Is simulation useful?

Does it produce reasonable numbers?

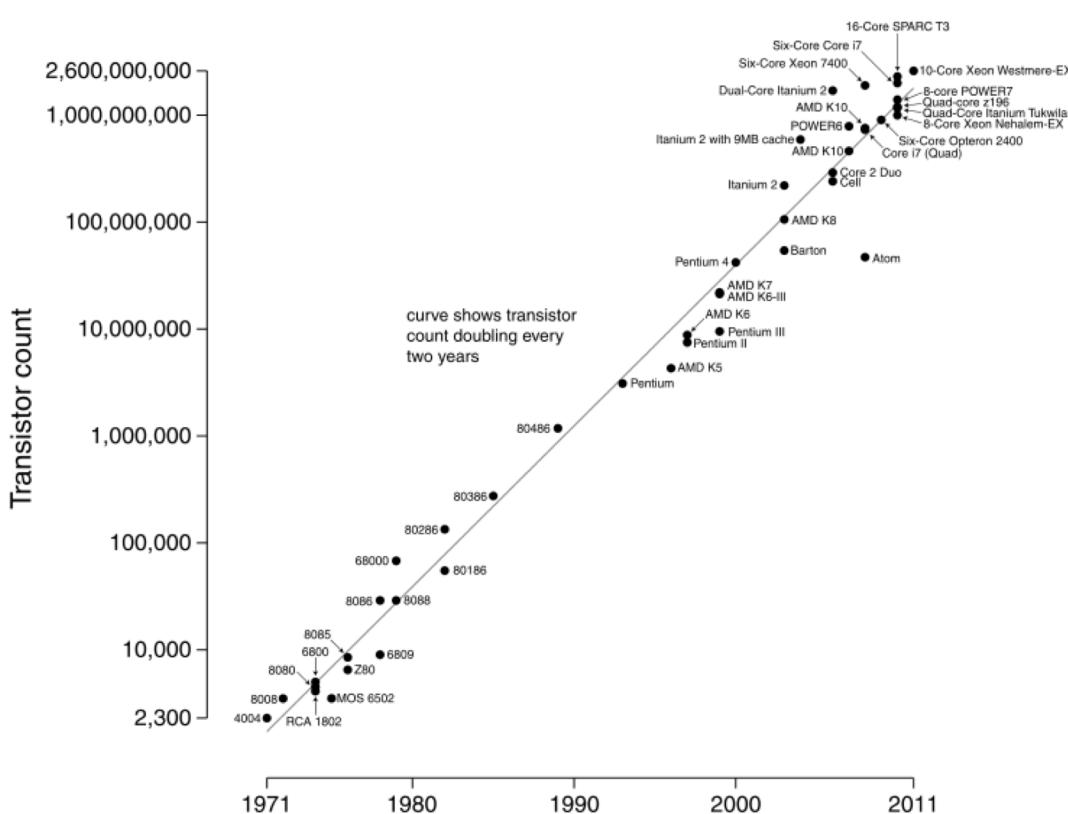
Or can only try to reproduce the experiments?

Connected question:

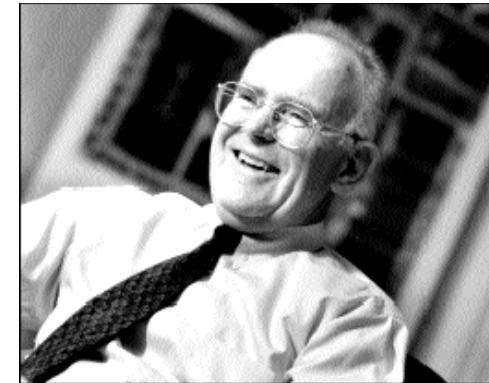
## Is simulation expensive?

# How many transistors on a chip?

Microprocessor Transistor Counts 1971-2011 & Moore's Law



The number of transistors per chip doubles every 18 months

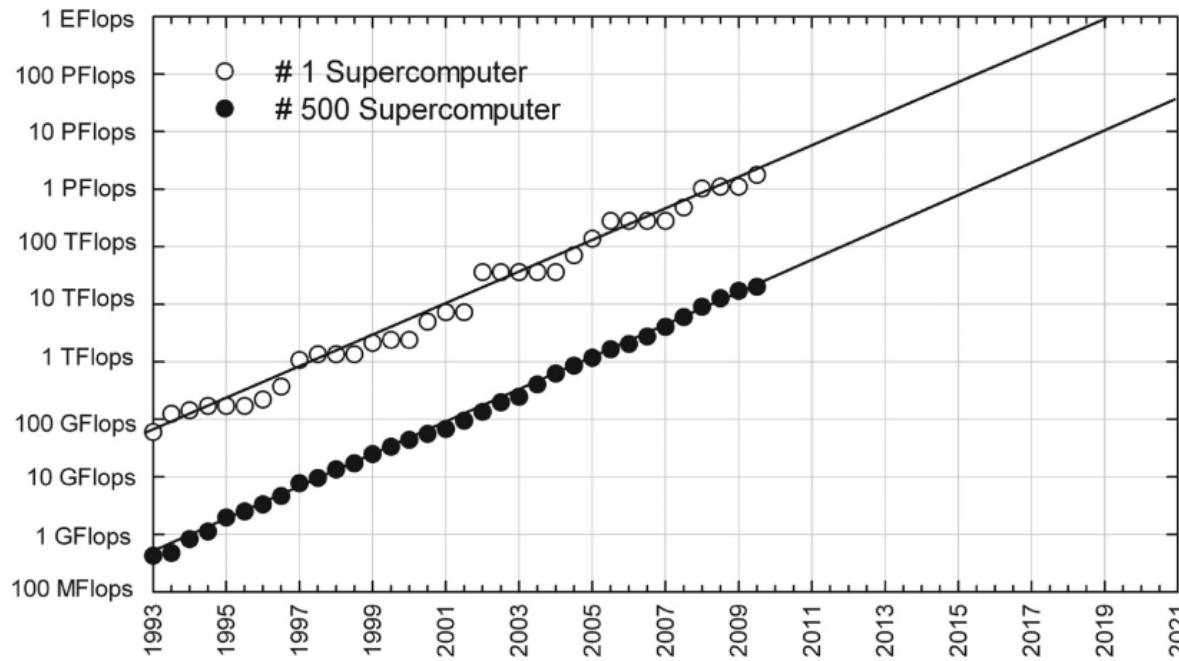


Gordon Moore

# Performance of HPC

Keith E. Gubbins\* and Joshua D. Moore *Ind. Eng. Chem. Res.* **2010**, *49*, 3026–3046

## Molecular Modeling of Matter: Impact and Prospects in Engineering



**Figure 15.** Top supercomputer in world versus the 500th supercomputer based on speed from June 1993 to November 2009 from the TOP500 list (a new lists appear in June and November of each year). Lines are drawn for the eye and serve as an estimate of possible future projections based on the data. (Data used with permission from TOP500 Supercomputing Sites, <http://top500.org>).

But.....

The evolution of the hardware is always much faster  
than that of the software.

Parallel computing....

How to fill supercomputers?

# Is simulation expensive? The last computer we bought....

Server Supermicro 64 CORE OPTERON euros 6.490 ,00

1 x Chassis 2U - 6 x SATA/SAS - 1400W

4 x CPU AMD Opteron 16-Core 6272 2,1Ghz 115W

8 x RAM 8 GB DDR3-1333 ECC Reg. (1GB/core)

1 x Backplane SAS/SATA 6 disks

1 x HDD SATAII 500 GB 7.200 RPM hot-swap

1 x SVGA Matrox G200eW 16MB

2 x LAN interface 1 Gbit

1 x Management IPMI 2.0

Cheap... but 64 cores-→ Parallel computing

Much less than most of the experimental equipments

64 cores enough for large calculation.....

# At the other extreme: SUPERCOMPUTERS

Available, but:

- a) They are fragile
- b) Not so much standard (compiler, libraries)
- c) The software (that is always late with respect to hardware) **MUST BE ABLE TO EXPLOIT this huge power**

# The PRACE Tier-0 Resources



**HORNET (HLRS, DE)**  
Cray XC30 system - 94,656 cores



**FERMI (CINECA, IT)**  
BlueGene Q system – 163,840 cores



**SUPERMUC (LRZ, DE)**  
IBM System x iDataPlex  
system– 155,656 cores



**MARENOSTRUM (BSC, SP)**  
IBM System x iDataPlex  
system– 48,448 cores



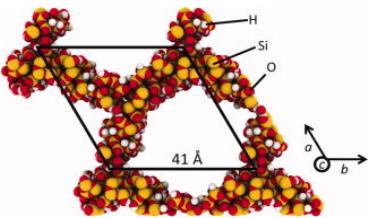
**CURIE (GENCI, FR)**  
BULL x86 system – 80,640 cores (thin nodes)



**JUQUEEN (JÜLICH, DE)**  
BlueGene Q system – 458,752 cores



# MPPCRYSTAL: strong scaling



Scaling of **computational time** required for an SCF cycle with the number of processors for two supercells of mesoporous silica MCM-41, with a 6-31G\*\* basis set and PBE functional. The X16 cell contains 9264 atoms and 124096 atomic orbitals, the X24 one 13896 atoms and 186144 atomic orbitals.

Various approaches can be  
used for the simulation of **solids**:

-classical or semi-classical energy expressions  
(force-field, electrostatic + repulsion terms);

structural, elastic, dielectric properties of ionic and semi-ionic compounds such as  $\text{Al}_2\text{O}_3$  (corundum) or  $\text{SiO}_2$  (quartz); the only available in the 1960-1980 period; still used for large systems or for a first quick determination. Parametric (then boring parametrization, usually valid for interpolation, much less for extrapolation.....).

-MD (molecular dynamics) based on classical mechanics  
(then on force fields). The only available for, say, more than 30.000 atoms (for example proteins). Temperature effect

Obviously no electronic wavefunction →  
nothing about the related properties

## Quantum mechanical

(based then on the solution of the Schroedinger equation at some level of approximation)

### a) ab initio

(no parameters, also indicated as first-principle)

### b) semi-empirical

in the quantum-mechanical frame many of the interactions (then of expensive integrals) are approximated with reference to some physical or chemical property. Cheaper than a)

# Quantum mechanical, ab initio

I) **wavefunction based** (Hartree-Fock, Configuration interaction, Coupled Cluster, Moeller Plesset.....).

In short:

The multielectronic problem MUST be tackled through ONE electron wavefunctions: Hartree-Product >Slater Determinant >variational principle > double infinite expansion (basis set and determinants).

Historically, **the Molecular or Chemistry approach.**

Standard codes since 1960-70 (**IBMOL-** means IBM first explicit set of atomic wave-functions, 1974, Clementi and Roetti.

Gaussian (Pople) code (1975), and others in the following years.

# Quantum mechanical, ab initio

## II) Electronic Density based

(a 3 variables problem instead of  $3N$  variables)

The Hohenberg-Kohn Theorems (1964) originates

the DFT (Density Functional Theory):

LDA, GGA, meta-GGA, «hybrids», range separated.....

a sort of medioeval «bestiarium»

because:

the theorems say that the TOTAL ENERGY (a number)  
only depends on the density (a function);

however the link between the two is unknown (or known only  
in limiting cases as for the electron gas). In practice solves an  
equation very close to the one for the wavefunction.

Here we consider the

## QUANTUM MECHANICAL ab initio

approach to the properties of crystalline compounds

only crystalline (means: periodic in 1, 2 3 directions)?

NO!

The same scheme applies to:

- a) local defects (say vacancies in silicon)
- b) disordered systems (say solid solutions)

The level of the theory:

non relativistic

Schroedinger equation

Born-Hoppeheimer approximation

single particle approximation

single determinant (Hartree-Fock or ....DFT)

variational principle

local basis set (LCAO)

An obvious statement:  
also the simplest crystalline system is much more  
complicated than the simplest molecular system:

Accurate studies for the latter in the '60  
(H<sub>2</sub>, methane,benzene)

The first ab initio calculations for solids appear in  
1979-1980 (diamond)

Hystorically, two separated development lines:

Molecules (or finite systems):  
HF based, a local basis set, all electron

Solids (infinite in three directions)  
DFT, plane-waves, pseudopotential.

In the last say 10 years.....intersections...

The simulation at the  
theoretical chemistry group in Torino

The CRYSTAL code

## The CRYSTAL PROJECT:

was formulated in the 1972-76 years by  
**Cesare Pisani, Carla Roetti and Roberto Dovesi,**  
starting from the periodic Hartree Fock schemes proposed  
in these years by various authors  
(André, Del Re, Harris, Ladik, Euwema);

first “exercises” with periodic EHT, CNDO, MNDO

Then many other contributions (local and from abroad)

# Cesare Pisani



1938-2011

**Cesare Pisani died on July 17, 2011,  
in a mountains accident**

# Carla Roetti

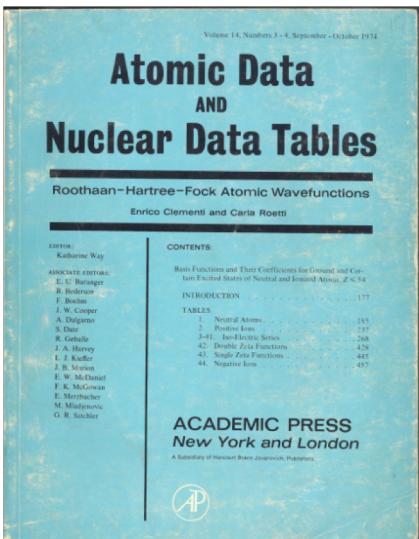


Carla Roetti graduated in chemistry (1967) from the University of Torino, where she became Associate Professor in Physical Chemistry in 1980.

Throughout her scientific career, she has been one of the leaders of the Theoretical Chemistry Group of the Torino University. For almost forty years (1974-2010) she has been involved with her colleagues in the quantum mechanical ab-initio study of the electronic properties of solids and in the implementation of related algorithms and computer codes, in particular of CRYSTAL.

Her contribution in this respect has been invaluable. Since the release of the first public version of CRYSTAL (1988) and throughout all the subsequent ones, she has played a leading rôle in the maintenance, portability, documentation and testing of the new features of the code, and the support of the users.

**Carla Roetti has died on September 7th 2010,**  
all those who have worked and interacted with her deeply miss her.



## The CRYSTAL code

for the investigation of systems periodic in 1D (polymers,  
nanotubes), 2D (monolayers, slabs), 3D (bulk)

Born in Torino in 1976, public releases in  
1988 (QCPE),  
1992, 1995, 1998, 2002, 2006, 2009,  
2014

Contributions from many researchers  
from many countries

CRYSTAL88,  
was the first ab initio code publicly  
available to the scientific community,

last release: 2014.

## The basis set

The basis set consists of Bloch Functions (BF) defined in terms of local functions, the atomic orbitals (AO),  $\chi_\mu(\mathbf{r})$ , throughout the entire lattice ( $\mathbf{g}$  = lattice vector):

$$\Phi_\mu(\mathbf{r}; \mathbf{k}) = \sum_{\mathbf{g}} e^{i \mathbf{k} \cdot \mathbf{g}} \chi_\mu(\mathbf{r} - \mathbf{R}_\mu - \mathbf{g}) = \sum_{\mathbf{g}} e^{i \mathbf{k} \cdot \mathbf{g}} \chi_\mu^{\mathbf{g}}(\mathbf{r})$$

The local functions are, in turn, a linear combination of  $n_G$  individually normalized Gaussian type functions (GTF) with constant coefficients  $d_j$  and exponents  $\alpha_j$

$$\chi_\mu(\mathbf{r} - \mathbf{R}_\mu - \mathbf{g}) = \sum_j^{n_G} d_j G(\alpha_j; \mathbf{r} - \mathbf{R}_\mu - \mathbf{g})$$

# Matrix elements of Fock matrix in direct space

$$F_{\mu\nu}^g = T_{\mu\nu}^g + Z_{\mu\nu}^g + C_{\mu\nu}^g + X_{\mu\nu}^g \quad \text{Fock matrix}$$

$$T_{\mu\nu}^g = \langle \chi_\mu^0 | \hat{T} | \chi_\nu^g \rangle \quad \text{kinetic contribution}$$

$$Z_{\mu\nu}^g = \langle \chi_\mu^0 | \hat{Z} | \chi_\nu^g \rangle \quad \text{electron-nuclei}$$

$$C_{\mu,\nu}^g = \sum_{\lambda,\rho} \sum_{\substack{n \\ m}} P_{\lambda,\rho}^n \sum_{\substack{h \\ \infty}} [(\chi_\mu^0 \chi_\nu^g | \chi_\lambda^h \chi_\rho^{h+n})] \quad \text{Coulomb el-el}$$

$$X_{\mu,\nu}^g = \sum_{\lambda,\rho} \sum_n P_{\lambda,\rho}^n \sum_h [-\frac{1}{2} (\chi_\mu^0 \chi_\lambda^h | \chi_\nu^g \chi_\rho^{h+n})] \quad \text{exchange el-el}$$

$$P_{\lambda,\rho}^n = 2 \int_{BZ} d\mathbf{k} e^{i\mathbf{k}\cdot\mathbf{n}} \sum_j c_{\lambda,j}(\mathbf{k}) c_{\rho,j}^*(\mathbf{k}) \theta[\varepsilon_{\text{Fermi}} - \varepsilon_j(\mathbf{k})]$$

Integration in  $\mathbf{k}$  space to compute the value of  $\varepsilon_{\text{Fermi}}$

# Hartree-Fock total energy per unit cell

$$E_{\text{electronic}} = E^{\text{mono}} + E^{\text{Coulomb}} + E^{\text{exchange}}$$

$$E^{\text{mono}} = \frac{1}{2} \sum_{\mu,\nu}^m \sum_g^\infty P_{\mu,\nu}^g \left\langle \chi_\mu^0 \left| \nabla^2 + \sum_N^{nuclei} \frac{Z_N}{r_N} \right| \chi_\nu^g \right\rangle$$

$$E^{\text{Coulomb}} = \frac{1}{2} \sum_{\mu,\nu}^m \sum_g^\infty P_{\mu,\nu}^n \sum_{\lambda,\rho}^m \sum_n^\infty P_{\lambda,\rho}^n \sum_h^\infty [(\chi_\mu^0 \chi_\nu^g | \chi_\lambda^h \chi_\rho^{h+n})]$$

$$E^{\text{exchange}} = \frac{1}{4} \sum_{\mu,\nu}^m \sum_g^\infty P_{\mu,\nu}^g \sum_{\lambda,\rho}^m \sum_n^\infty P_{\lambda,\rho}^n \sum_h^\infty [(\chi_\mu^0 \chi_\lambda^h | \chi_\nu^g \chi_\rho^{h+n})]$$

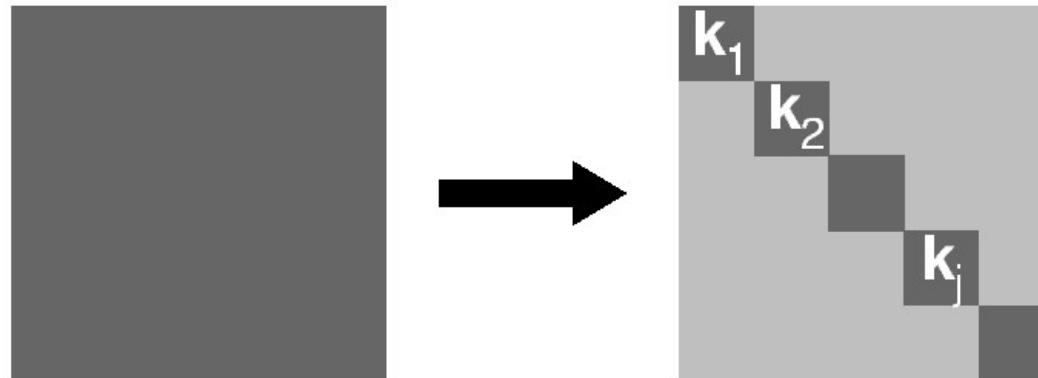
The evaluation of HF total energy of a periodic system requires the evaluation of 3 infinite summations ( $\mathbf{h}$ ,  $\mathbf{g}$ ,  $\mathbf{n}$ ) that extend to all direct lattice vectors

# Schrödinger equation in the BF basis

In the basis of Bloch functions the Hamiltonian matrix is factorized into diagonal blocks of finite size (the number of BFs in the unit cell), each corresponding to a point in reciprocal space.

Schrödinger equation can be solved independently at each  $\mathbf{k}$  point.

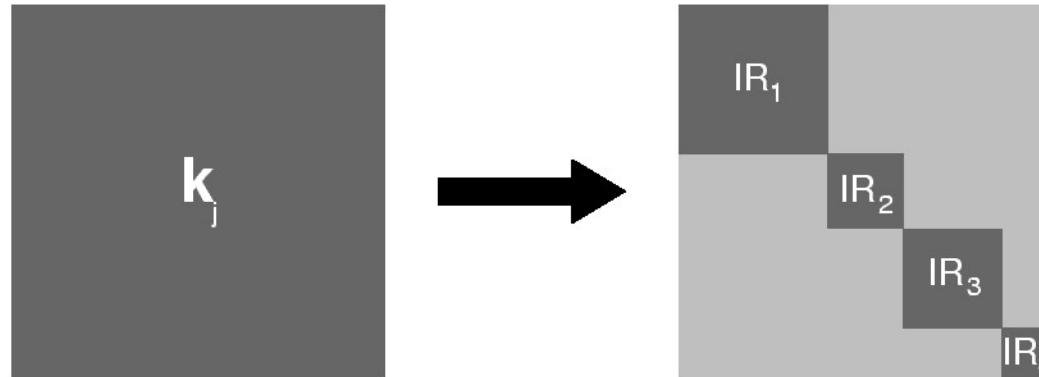
$$\mathbf{H}(\mathbf{k}) \mathbf{C}(\mathbf{k}) = \mathbf{S}(\mathbf{k}) \mathbf{C}(\mathbf{k}) \mathbf{E}(\mathbf{k})$$



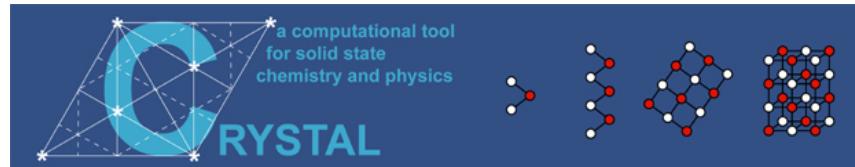
# Symmetry Adapted Crystalline Orbitals

Some  $\mathbf{k}$  points are invariant to some point symmetry operations: this property is used to generate Symmetry Adapted Bloch Functions from a set of local functions (AO).

The method, based on the diagonalization of Dirac characters, permits to factor out  $H(\mathbf{k})$  into smaller diagonal blocks:



# Hamiltonians



- **Restricted and Unrestricted Hartree-Fock Theory**
- **Total and Spin Density Functional Theory**

**Local functionals [L] and gradient-corrected [G]  
exchange-correlation functionals**

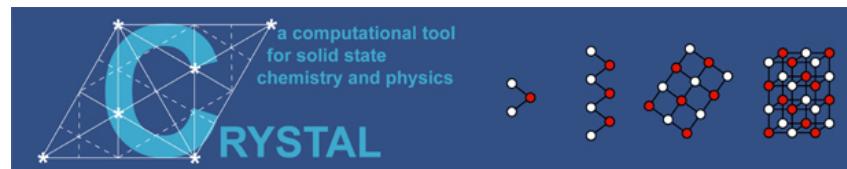
## Exchange functionals

- Slater [L]
- von Barth-Hedin [L]
- Becke '88 [G]
- Perdew-Wang '91 [G]
- Perdew-Burke-Ernzerhof [G]
- **Revised PBE for solids [G]**
- **Second-order GGA expansion for solids [G]**
- **Wu-Cohen '06 [G]**

## Correlation functionals

- Vosko-Willk-Nusair (VWN5 parameterization) [L]
- Perdew-Wang [L]
- Perdew-Zunger '81 [L]
- von Barth-Hedin [L]
- Lee-Yang-Parr [G]
- Perdew '86 [G]
- Perdew-Wang '91 [G]
- Perdew-Burke-Ernzerhof [G]
- **Revised PBE for solids [G]**
- **Wilson-Levy '90 [G]**

# Types of calculations



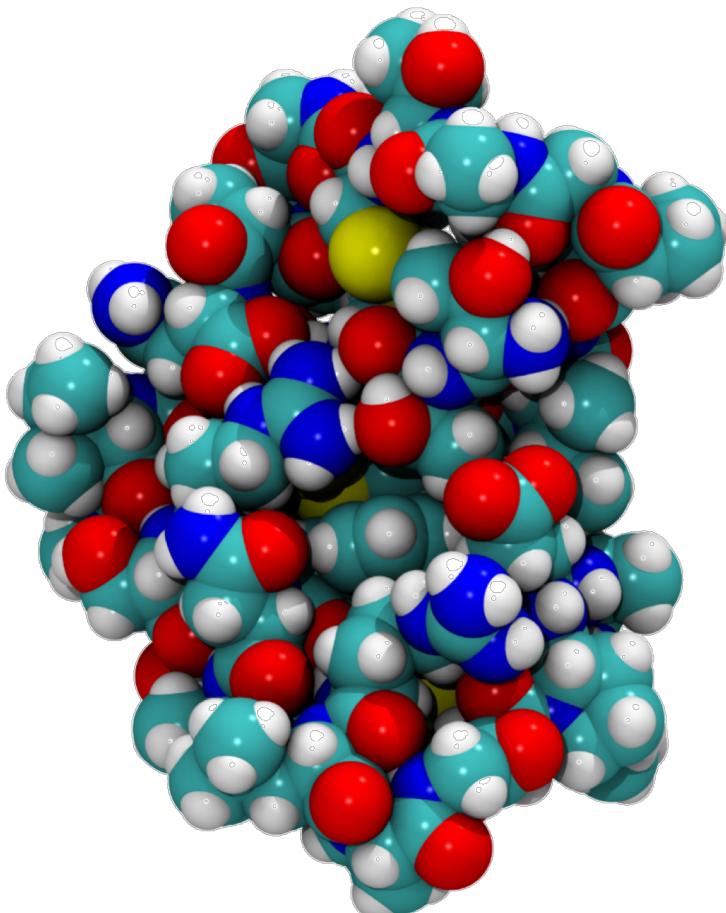
- **Single-point energy calculation**
- **Automated geometry optimization**
  - Full geometry optimization (cell parameters and atom coordinates)
  - Freezes atoms during optimization
  - **Constant volume or pressure constrained geometry optimization**
  - **Transition state search**
- **Harmonic vibrational frequencies**
  - Frequencies at  $\Gamma$  point
  - **Phonon dispersion with an efficient supercell approach**
  - IR intensities through either localized Wannier functions or **Berry phase scheme**
  - **Reflectance spectrum**
  - Exploration of the energy and geometry along selected normal modes
- **Anharmonic frequencies for X-H bonds**

A few applications.....

Total energy calculation....  
Geometry optimization....

# CRAMBIN

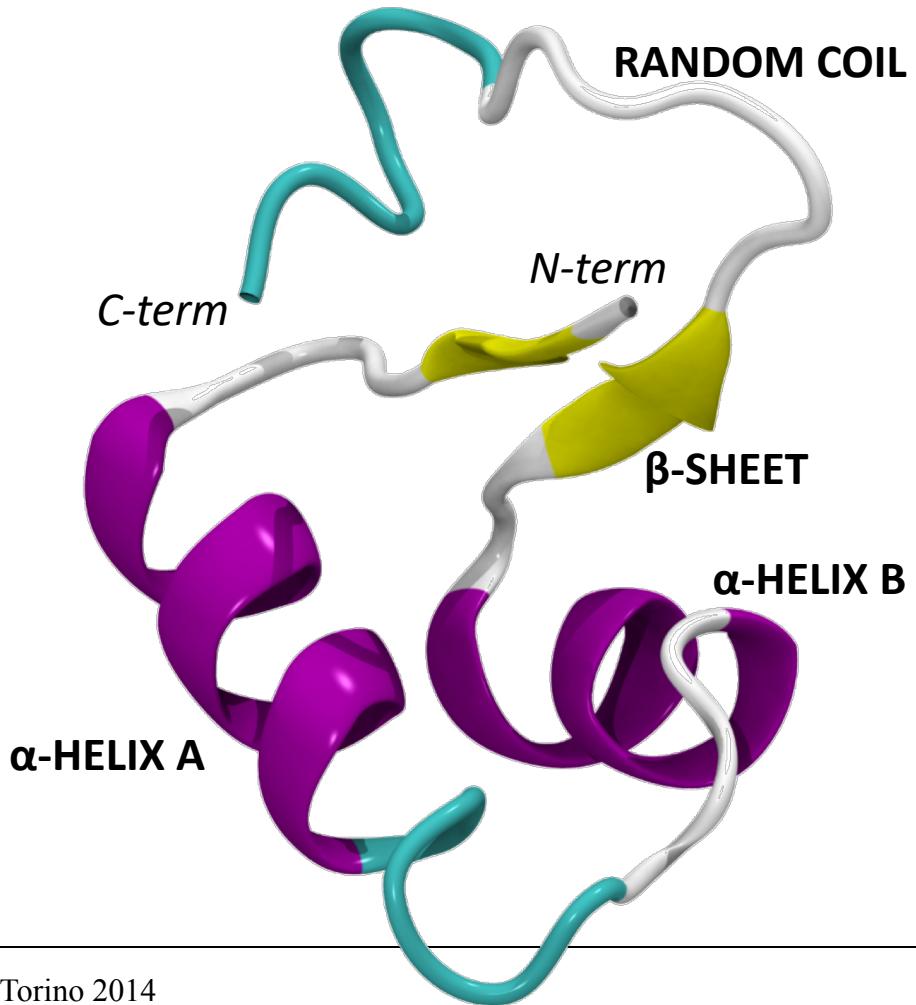
Crambin is a small seed storage protein from the Abyssinian cabbage. It belongs to thionins. It has **46 aminoacids (642 atoms)**.



## Primary structure:

TTCCPSIVARSNFNVCRLPGTPEALCATYTGCIIIPGATCPGDYAN

## Secondary structure:



# Tensorial Properties of Crystals

## Second order

Dielectric  
Polarizability

## Third order

Piezoelectric  
First hyperpolarizability

## Fourth order

Elastic  
Photoelastic  
Second hyperpolarizability

Maximum number of independent elements according to crystal symmetry:

6

18

21

Minimum number of independent elements according to crystal symmetry:

1

1

3

# Effect of the Crystal Symmetry on Tensors

## Third Order Tensors:

**Triclinic**

Class 1

$$\left( \begin{array}{cccccc} \bullet & \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet & \bullet \end{array} \right) \quad (18)$$

**Hexagonal**

Class 6

$$\left( \begin{array}{ccccc} \cdot & \cdot & \cdot & \bullet & \bullet \\ \cdot & \cdot & \cdot & \times & \circ \\ \bullet & \bullet & \cdot & \cdot & \cdot \end{array} \right)$$

same as class 4

**Cubic**

Classes  $\bar{4}3m$  and 23

$$\left( \begin{array}{ccccc} \cdot & \cdot & \cdot & \bullet & \cdot \\ \cdot & \cdot & \cdot & \cdot & \bullet \\ \cdot & \cdot & \cdot & \cdot & \cdot \end{array} \right) \quad (1)$$

## Fourth Order Tensors:

**Triclinic**

Both classes

$$\left( \begin{array}{cccccc} \bullet & \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet & \bullet \end{array} \right) \quad (21)$$

**Hexagonal**

All classes

$$\left( \begin{array}{ccccc} \bullet & \bullet & \bullet & \cdot & \cdot \\ \bullet & \bullet & \bullet & \cdot & \cdot \\ \bullet & \bullet & \bullet & \cdot & \cdot \\ \bullet & \bullet & \bullet & \cdot & \cdot \\ \bullet & \bullet & \bullet & \cdot & \cdot \end{array} \right) \quad (5)$$

**Cubic**

All classes

$$\left( \begin{array}{ccccc} \bullet & \bullet & \bullet & \cdot & \cdot \\ \bullet & \bullet & \bullet & \cdot & \cdot \\ \bullet & \bullet & \bullet & \cdot & \cdot \\ \bullet & \bullet & \bullet & \cdot & \cdot \\ \bullet & \bullet & \bullet & \cdot & \cdot \end{array} \right) \quad (3)$$

# Tensorial Properties Related to Crystal Strain

## Elastic Tensor

$$C_{vu} = \frac{1}{V} \left. \frac{\partial^2 E}{\partial \epsilon_v \partial \epsilon_u} \right|_0$$

## Piezoelectric Tensor

$$e_{iv} = \left. \frac{\partial P_i}{\partial \epsilon_v} \right|_0$$

## Photoelastic Tensor

$$p_{ijv} = \frac{\partial \Delta \epsilon_{ij}^{-1}}{\partial \epsilon_v}$$

4

3

4

## Order of the Tensors

Second derivatives of the total energy  $E$  with respect to a pair of strains, for a 3D crystal

First derivative of the polarization  $\mathbf{P}$  (computed through the Berry phase approach) with respect to the strain

First derivative of the inverse dielectric tensor (difference with respect to the unstrained configuration) with respect to strain

Voigt's notation is used according to  $v, u = 1, \dots, 6$  ( $1 = xx, 2 = yy, 3 = zz, 4 = yz, 5 = xz, 6 = xy$ ) and  $i, j = 1, 2, 3$  ( $1 = x, 2 = y, 3 = z$ ).



# Tensorial Properties Related to Crystal Strain

## Elastic Tensor

$$C_{vu} = \frac{1}{V} \left. \frac{\partial^2 E}{\partial \epsilon_v \partial \epsilon_u} \right|_0$$

Geometry definition  
**ELASTCON**  
[Optional keywords]  
**END**  
**END**  
Basis set definition  
**END**  
Comput. Parameters  
**END**

## Piezoelectric Tensor

$$e_{iv} = \left. \frac{\partial P_i}{\partial \epsilon_v} \right|_0$$

Geometry definition  
**PIEZOCOM**  
[Optional keywords]  
**END**  
**END**  
Basis set definition  
**END**  
Comput. Parameters  
**END**

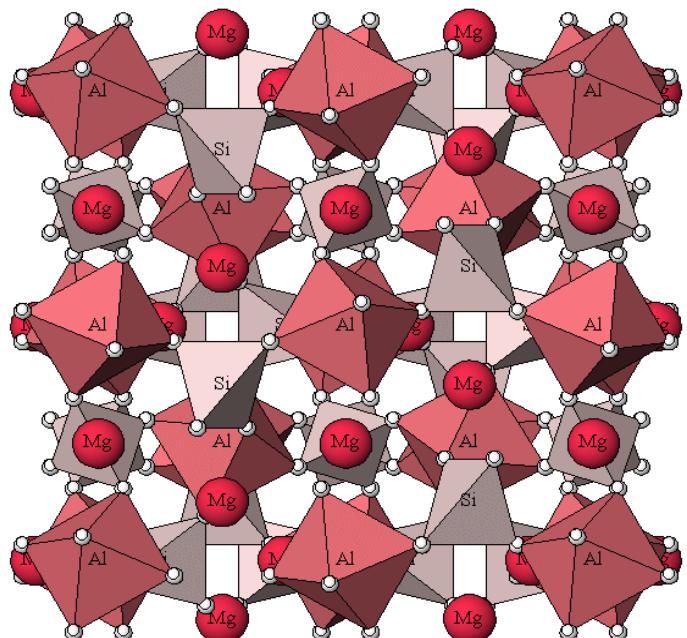
## Photoelastic Tensor

$$p_{ijv} = \frac{\partial \Delta \epsilon_{ij}^{-1}}{\partial \epsilon_v}$$

Geometry definition  
**PHOTOELA**  
[Optional keywords]  
**END**  
**END**  
Basis set definition  
**END**  
Comput. Parameters  
**END**

# CRYSTAL14: Elastic Properties

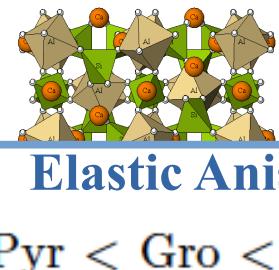
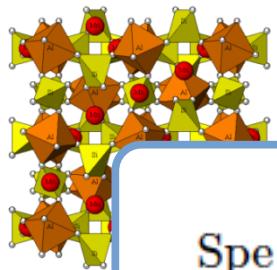
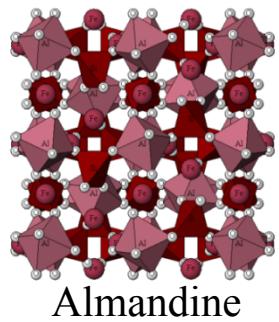
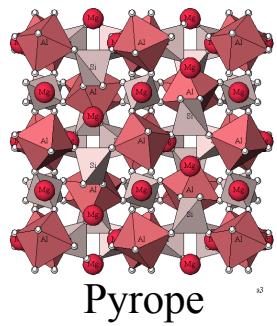
Pyrope- $\text{Mg}_3\text{Al}_2(\text{SiO}_4)_3$



**Table 1** Elastic constants  $C_{vu}$  (GPa) and adiabatic bulk modulus  $K_S$  (GPa) of the six silicate garnet end-members here considered. Present computed values are compared with previously measured experimental (above the horizontal line) and simulated (below lines) data.

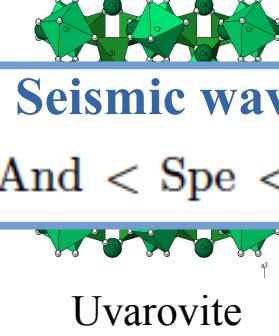
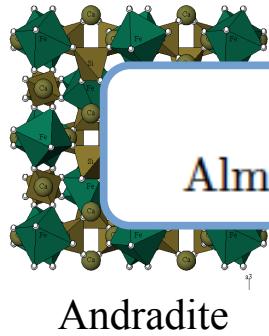
		$C_{11}$	$C_{12}$	$C_{44}$	$K_S$
Pyr	Isaak <i>et al.</i> (1976)	287	105	92	166
	Bonczar <i>et al.</i> (1977)	292	106	92	168
	Leitner <i>et al.</i> (1980)	295	117	90	177
	O'Neill <i>et al.</i> (1989)	296	111	92	173
	O'Neill <i>et al.</i> (1991)	298	110	93	172
	Sinogeikin <i>et al.</i> (2000)	297	108	93	171
	Lu <i>et al.</i> (2013)	291	107	92	168
	Pavese (1999)	298	113	93	174
	Winkler <i>et al.</i> (1999)	339	132	115	201
	Mittal <i>et al.</i> (2001)	314	116	91	182
<b>This study</b>		<b>296</b>	<b>109</b>	<b>89</b>	<b>171</b>

# CRYSTAL14: Elastic Properties

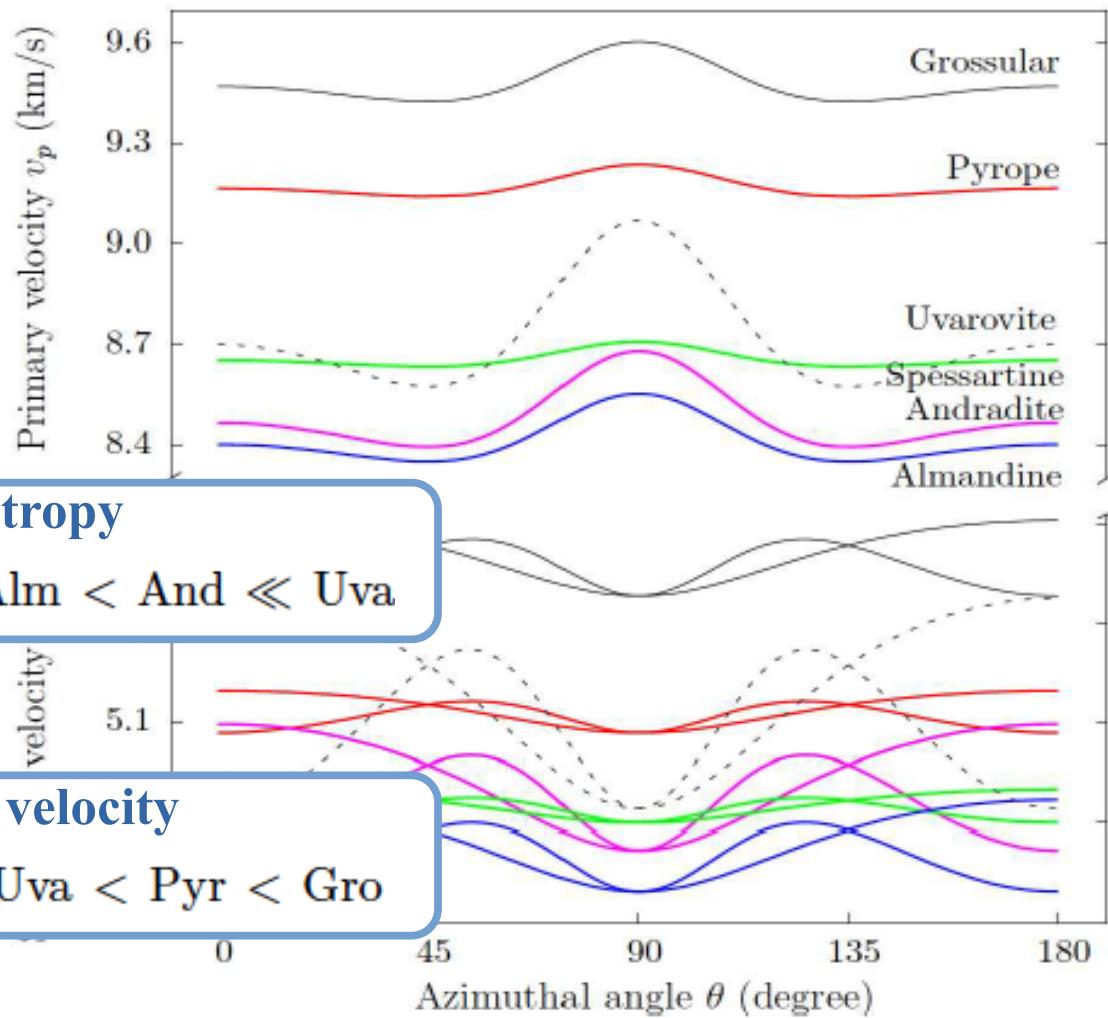


Spe < Pyr < Gro < Alm < And << Uva

## Elastic Anisotropy

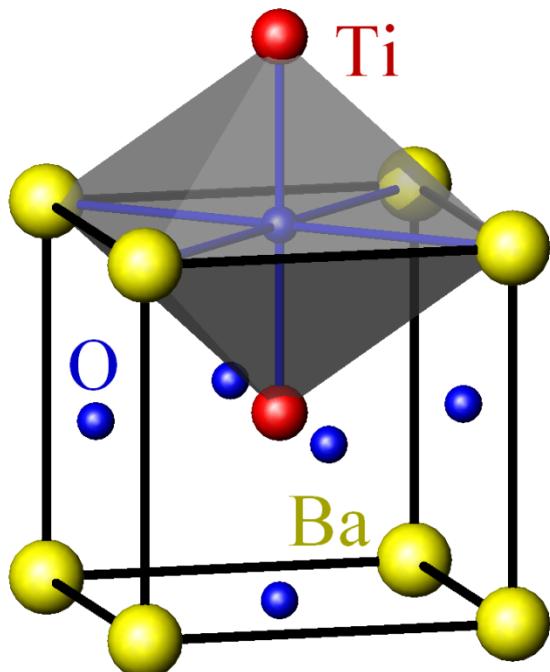


Alm < And < Spe < Uva < Pyr < Gro



# CRYSTAL14: Piezoelectric and Dielectric Properties

TABLE V: Direct and converse piezoelectric constants of the rhombohedral phase of  $\text{BaTiO}_3$ , as computed with four different Hamiltonians. Electronic and total nuclear relaxed values are given.



	Direct ( $\text{C/m}^2$ )				Converse ( $\text{pm/V}$ )			
	$e_{15}$	$e_{21}$	$e_{31}$	$e_{33}$	$d_{15}$	$d_{21}$	$d_{31}$	$d_{33}$
<b>HF</b>								
Relaxed	-7.52	3.24	-3.30	-4.41	1562 <sup>†</sup>	-511 <sup>†</sup>	-9.2	-15.6
Clamped	0.14	-0.19	0.06	-0.14	1.6	-0.8	0.2	-0.4
<b>LDA</b>								
Relaxed	-5.81	3.75	-4.77	-6.46	-95.0	30.3	-8.7	-16.8
Clamped	0.13	-0.15	0.04	-0.12	1.1	-0.6	0.2	-0.4
<b>PBE</b>								
Relaxed	-4.31	1.93	-2.11	-3.52	-290	80.6	-5.2	-14.1
Clamped	0.20	-0.28	0.05	-0.23	2.5	-1.4	0.3	-0.9
<b>PBE0</b>								
Relaxed	-4.67	1.99	-2.17	-3.45	-271	73.9	-5.0	-12.2
Clamped	0.21	-0.28	0.06	-0.22	2.3	-1.2	0.3	-0.8

<sup>†</sup> These unusual large values are due to very large elements of the HF compliance tensor  $\mathbb{S} = \mathbb{C}^{-1}$  in this case.

# CRYSTAL14: Photoelastic Properties

The three independent elasto-optic constants of MgO, computed at PBE level, as a function of the electric field wavelength  $\lambda$

$p_{44}$  is almost wavelength independent

$p_{11}$  and  $p_{12}$  show a clear dependence from  $\lambda$

Dashed vertical lines in the figure identify the experimental range of adopted electric field wavelengths

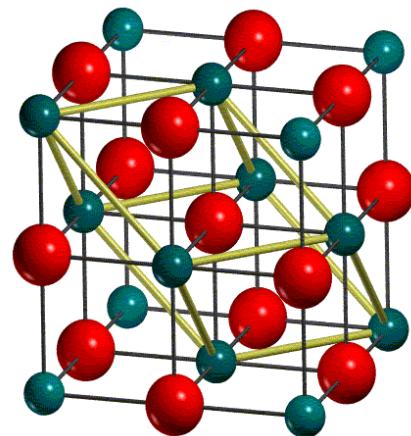
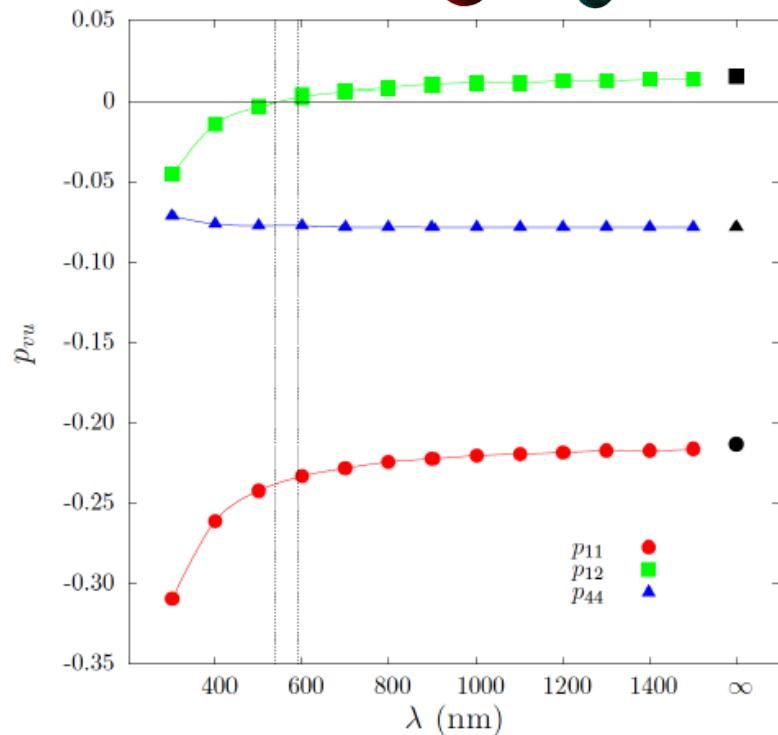


TABLE IV: Elasto-optic constants of the MgO crystal as experimentally measured by various workers, compared with the results of the present study.

	$p_{11} - p_{12}$	$p_{44}$	$p_{11}$	$p_{12}$
Giardini <i>et al.</i> <sup>68</sup>	-0.25	-0.10	-0.21	+0.04
Vedam <i>et al.</i> <sup>10</sup>	-0.248	×	-0.259	-0.011
Burstein <i>et al.</i> <sup>71</sup>	-0.24	×	-0.3	-0.08
Krishna Rao <i>et al.</i> <sup>72</sup>	-0.24	-0.105	-0.31	-0.07
West <i>et al.</i> <sup>73</sup>	-0.253	-0.096	×	×
Present work LDA	-0.231	-0.075	-0.218	+0.013
Present work PBE	-0.228	-0.078	-0.213	+0.015



Vibrational properties

IR and Raman spectra.....

# Garnets: $X_3Y_2(SiO_4)_3$

X	Y	Name
Mg	Al	Pyrope
Ca	Al	Grossular
Fe	Al	Almandine
Mn	Al	Spessartine
Ca	Fe	Andradite
Ca	Cr	Uvarovite

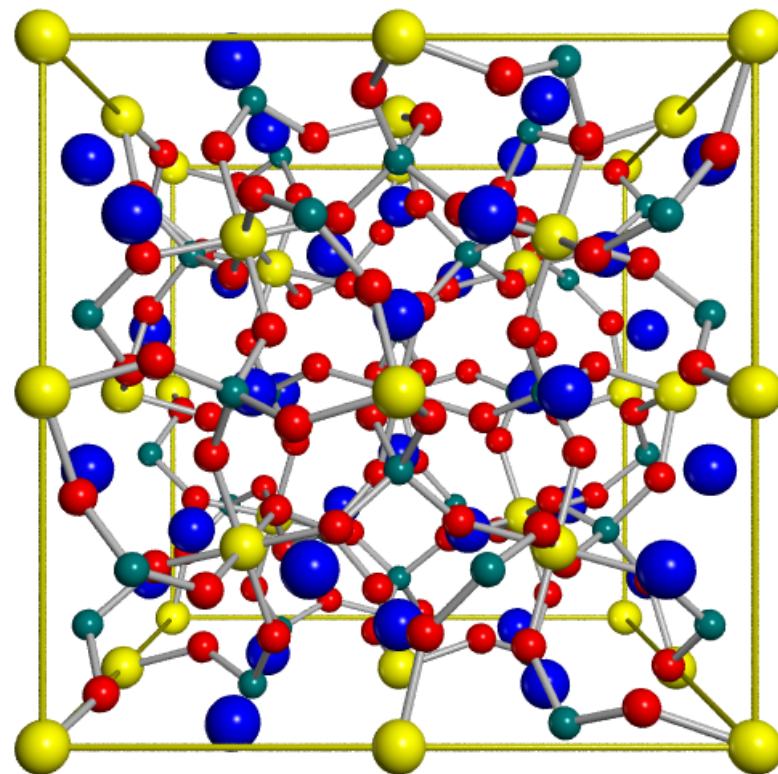
Space Group: Ia-3d

80 atoms in the primitive cell (240 modes)

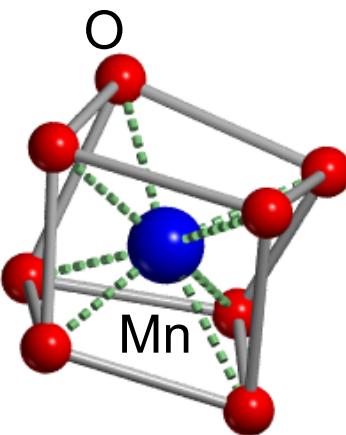
$$\Gamma^{\text{rid}} = 3\mathbf{A}_{1g} + 5\mathbf{A}_{2g} + 8\mathbf{E}_g + 14\mathbf{F}_{1g} + 14\mathbf{F}_{2g} + 5\mathbf{A}_{1u} + 5\mathbf{A}_{2u} + 10\mathbf{E}_u + 18\mathbf{F}_{1u} + 16\mathbf{F}_{2u}$$

17 IR ( $\mathbf{F}_{1u}$ ) and 25 RAMAN ( $\mathbf{A}_{1g}$ ,  $\mathbf{E}_g$ ,  $\mathbf{F}_{2g}$ ) active modes

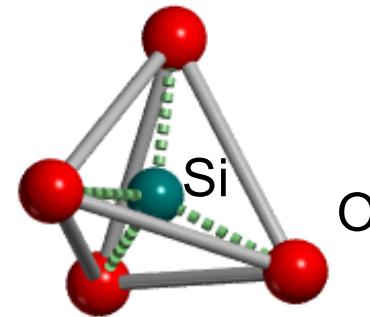
# Silicate garnet spessartine structure: $Mn_3Al_2(SiO_4)_3$



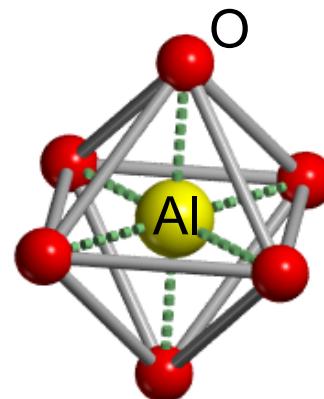
- Cubic **Ia-3d**
- 160 atoms in the UC (80 in the primitive)
- O general position (48 equivalent)
- Mn (24e) Al (16a) Si (24d) site positions



distorted  
dodecahedra



tetrahedra



octahedra

# Harmonic frequency in solids with CRYSTAL

## Building the Hessian matrix

$$v_j = \frac{\partial V}{\partial u_j}$$

analytical first derivative

$$H_{ji} = \left[ \frac{\partial v_j}{\partial u_i} \right]_0 \approx \frac{v_j(0, \dots, u_i, \dots) - v_j(0, \dots, -u_i, \dots)}{2u_i}$$

numerical second derivative

$$W_{ij}(k=0) = \sum_G \frac{H_{ij}^{0G}}{\sqrt{M_i M_j}}$$

Isotopic shift can be calculated at no cost!

Harmonic frequencies at the central zone are obtained by diagonalising the mass weighted Hessian matrix, W

# Spessartine raman modes : Calc vs Exp

Calculated Modes			Observed Modes	
BSB			Exp. a)	Exp. b)
	$\nu$	$\Delta\nu$ a)	$\nu$	$\nu$
$F_{2g}$	1033	-4	1029	1027
$E_{2g}$	914	-1	913	913
$A_{2g}$	910	-5	905	905
$F_{2g}$	877	2	879	878
$E_{2g}$	852	-	-	892
$F_{2g}$	845	4	849	849
$F_{2g}$	640	-10	630	628
$E_{2g}$	596	-4	592	5920
$F_{2g}$	588	-15	573	573
$A_{2g}$	561	-9	552	550
$E_{2g}$	531	-9	522	521
$F_{2g}$	505	-5	500	499
$F_{2g}$	476	-1	475	472

Frequency differences ( $\Delta\nu$ ) are evaluated with respect to experimental data.  $\nu$  and  $\Delta\nu$  in  $\text{cm}^{-1}$ .

a) Hofmeister &Chopelas, Phys. Chem Min. 1991

b) Kolesov &Geiger, Phys. Chem. Min. 1998

# Spessartine raman modes : Calc vs Exp

Calculated Modes			Observed Modes	
BSB			Exp. a)	Exp. b)
	$\nu$	$\Delta\nu$ a)	$\nu$	$\nu$
$E_{2g}$	376	-4	372	372
$F_{2g}$	366	-	-	-
$F_{2g}$	348	2	350	350
$A_{2g}$	342	8	350	347
$E_{2g}$	320	1	321	318
$E_{2g}$	315	13	302	314
$E_{2g}$	299	-30	269	-
$F_{2g}$	221	0	221	229
$F_{2g}$	195	1	196	194
$F_{2g}$	165	10	175	163
$E_{2g}$	163	-1	162	162
$F_{2g}$	105	-	-	-

Frequency differences ( $\Delta\nu$ ) are evaluated with respect to experimental data.  $\nu$  and  $\Delta\nu$  in  $\text{cm}^{-1}$ .

# Garnets : Statistics

IR frequencies

	n. of compared $\nu$	TO			LO		
		$ \bar{\Delta} $	$\bar{\Delta}$	$ \Delta_{max} $	$ \bar{\Delta} $	$\bar{\Delta}$	$ \Delta_{max} $
Pyrope <sup>(a)</sup>	17+17	6.0	-1.0	23.3	6.8	-2.8	23.3
Grossular <sup>(b)</sup>	16+16	3.4	-0.8	8.6	5.1	-2.0	28.2
Almandine <sup>(a)</sup>	17+17	5.5	1.6	21.1	3.9	0.6	12.7
Spessartine <sup>(c)</sup>	17+17	4.2	-2.4	11.0	3.4	0.0	8.6
Andradite <sup>(b)</sup>	17+17	7.3	-7.3	13.7	6.2	-6.2	12.0
Uvarovite <sup>(d)</sup>	5+5	4.0	-1.8	6.5	3.8	-2.0	6.3
TOTAL	89+89	5.2	-2.0	23.3	5.0	-2.1	28.2

Raman frequencies<sup>(e)</sup>

	$ \bar{\Delta} $	$\bar{\Delta}$	$ \Delta_{max} $
Pyrope	7.6	-3.2	31
Grossular	7.5	3.0	32
Almandine	4.2	0.7	17
Spessartine	6.8	0.6	30
Andradite	5.3	-5.1	11
Uvarovite	4.6	-0.4	22

a) Hofmeister et al., *Phys. Chem. Min.* **1996**. 81, 418

b) McAlloon et. al., *Phys. Chem. Min.* **1995**. 80, 1145

c) Hofmeister et. al., *Phys. Chem. Min.* **1991**. 17, 503

d) Hofmeister, private comm.

e) Kolesov et. al., *Phys. Chem. Min.* **1998**. 25, 142

Statistical analysis of calculated IR and Raman modes of garnets compared to experimental data.

# IR reflectance spectrum

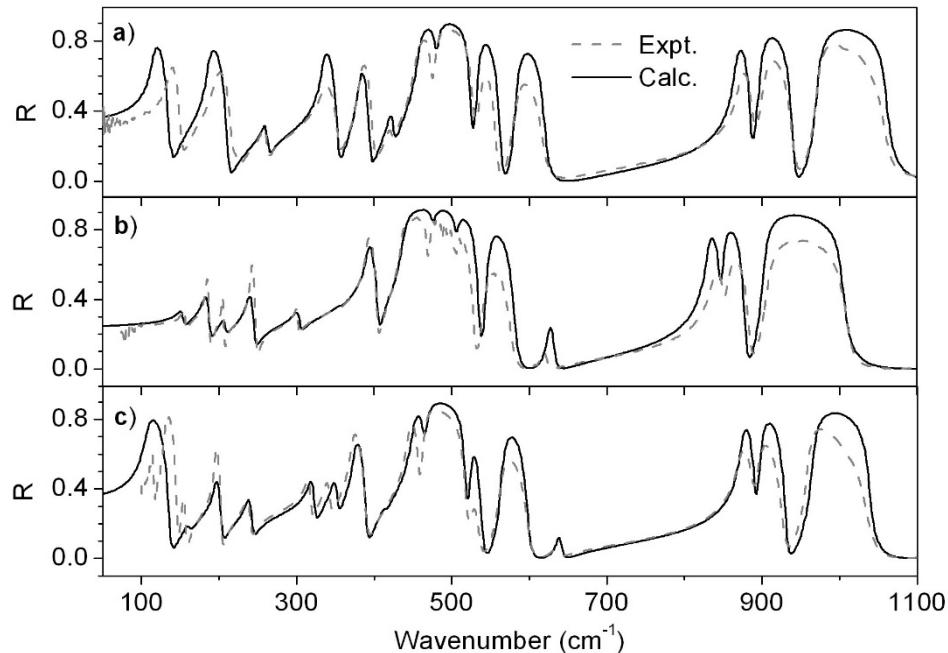
**Reflectivity** is calculated from dielectric constant by means of:

$$R(\nu) = \left| \frac{\sqrt{\epsilon(\nu) - \sin^2 \theta} - \cos \theta}{\sqrt{\epsilon(\nu) - \sin^2 \theta} + \cos \theta} \right|^2$$

( $\theta$  is the beam incident angle)

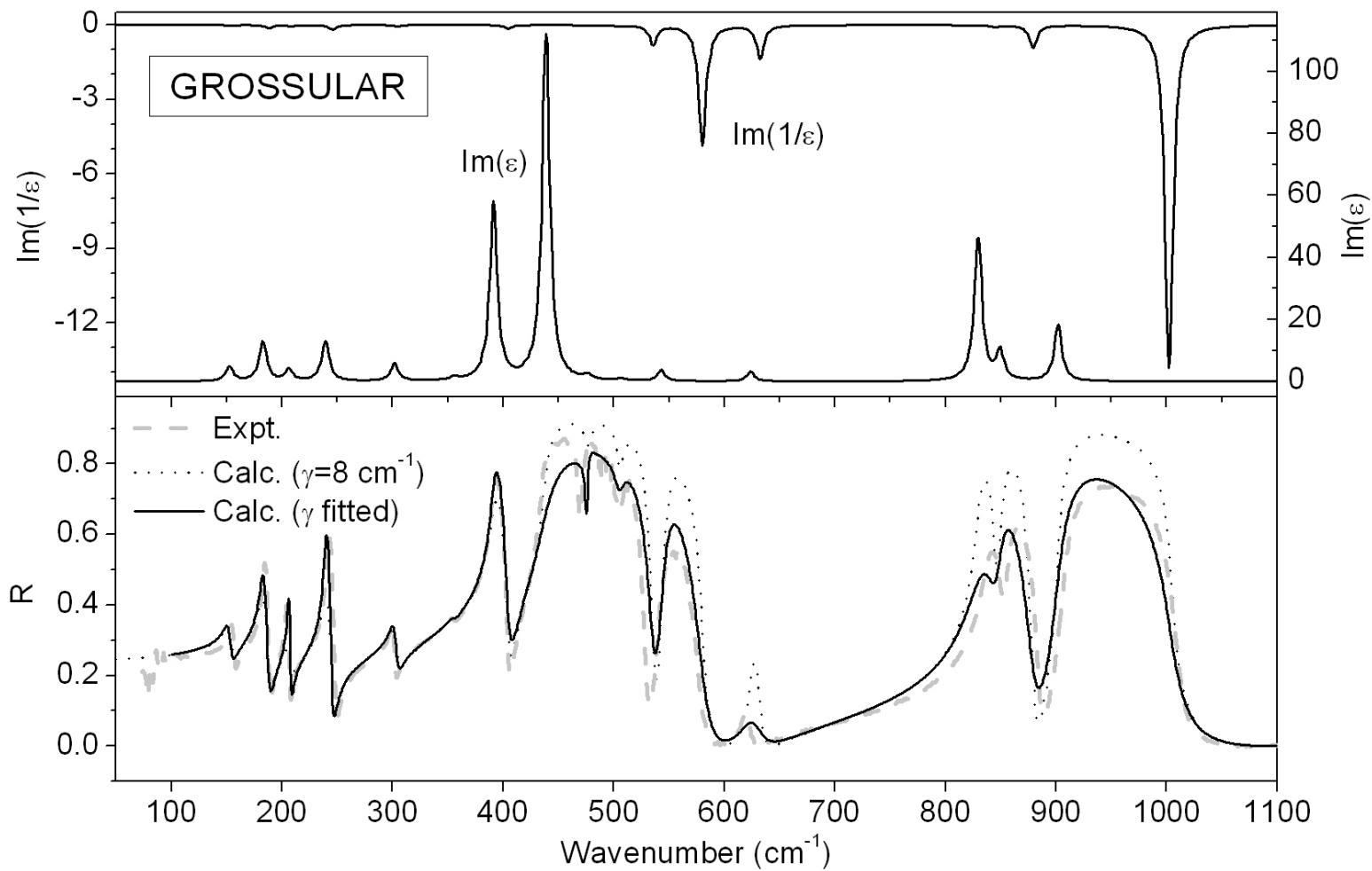
The **dielectric function** is obtained with the classical dispersion relation:

$$\epsilon(\nu) = \epsilon_\infty + \sum_j \frac{f_j \nu_j^2}{\nu_j^2 - \nu^2 - i\nu\gamma_j}$$



Comparison of computed and experimental IR reflectance spectra for garnets: a) pyrope b) grossular c) almandine .

# IR reflectance spectrum of grossular



Computed and experimental IR reflectance spectra of grossular garnet, plus imaginary parts of  $\epsilon$  and  $1/\epsilon$ .

# IR reflectance spectrum: required quantities

- **Optical dielectric constant  $\epsilon^\infty$** 
  - Computed through a Coupled Perturbed HF(KS) scheme

	Calc.	Exp.	$\Delta\%$
Pyrope	2.74	3.06	-12
Grossular	2.78	2.96	-7
Almandine	3.23		
Spessartine	3.08	3.24	-5
Andradite	3.40	3.53	-4
Uvarovite	3.24	3.42	-6

Optical dielectric constants of garnets

(expt. from Medenbach et al., *J. Opt. Soc. Am. B*, **1997**, 14, 3299-3318)

- **Transverse Optical vibrational frequencies  $\nu$** 
  - Eigenvalues of the Hessian matrix, constructed in the harmonic approximation
- **Damping factors  $\gamma$** 
  - A constant value  $8 \text{ cm}^{-1}$  is adopted

The RAMAN spectrum of Pyrope:

25 modes

# From $A_{1g} + E_g$ wavenumbers...

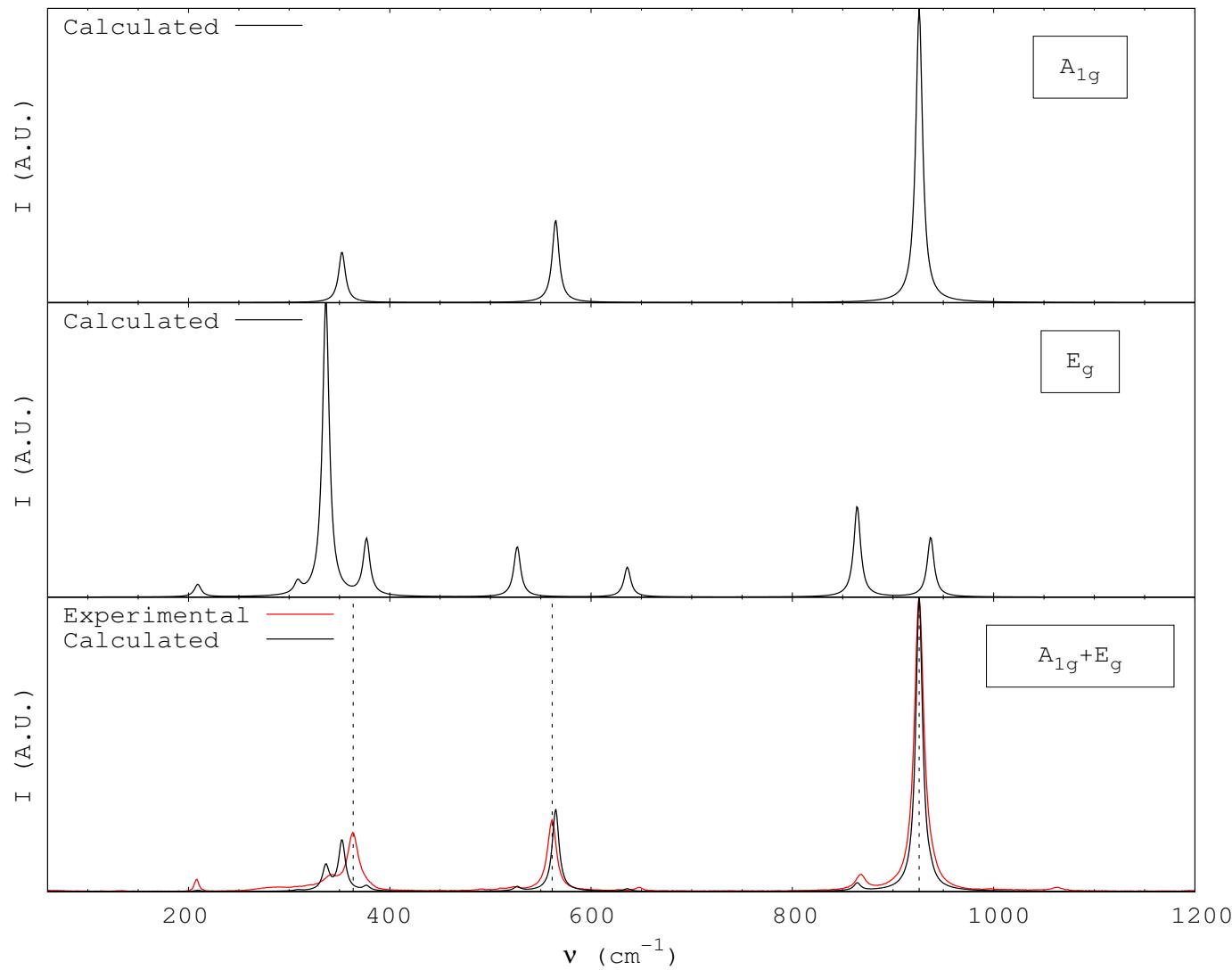
		Ours	Hofmeister	Chopelas	Kolesov				
Sym	M	$\nu$ (cm <sup>-1</sup> )	$\nu$ (cm <sup>-1</sup> )	$\Delta\nu$ (cm <sup>-1</sup> )	$\nu$ (cm <sup>-1</sup> )	$\Delta\nu$ (cm <sup>-1</sup> )	$\nu$ (cm <sup>-1</sup> )	$\Delta\nu$ (cm <sup>-1</sup> )	
$A_{1g}$	1	352.5	362	-10	362	-10	364	-12	Frequency differences are evaluated with respect to <b>calculated data</b> .
	2	564.8	562	3	562	3	563	2	
	3	926.0	925	1	925	1	928	-2	
$E_g$	4	209.2	203	6	203	6	211	-2	<b>Hofmeister</b> : Hofmeister & Chopelas, <i>Phys. Chem. Min.</i> , 1991
	5	308.5			<b>309</b>	-1	284	25	
	6	336.5	342	-6			344	-8	
	7	376.9	365	12	<b>379</b>	-2	375	2	
	<i>A</i>		<b>439</b>		<b>439</b>				
	8	526.6	524	3	524	3	525	2	
	9	636.0	626	10	626	10	626	10	
	10	864.4			<b>867</b>	-3			
	<i>B</i>		<b>911</b>						
	11	937.4	938	-1	938	-1	945	-8	

**Hofmeister**: Hofmeister & Chopelas, *Phys. Chem. Min.*, 1991

**Chopelas**: Chaplin & Price & Ross, *Am. Mineral.*, 1998

**Kolesov**: Kolesov & Geiger, *Phys. Chem. Min.*, 1998

# ... to RAMAN spectra!



# And now $F_{2g}$ wavenumbers...

		Ours	Hofmeister	Chopelas	Kolesov	
Sym.	M	$\nu$ (cm <sup>-1</sup> )	$\nu$ (cm <sup>-1</sup> ) $\Delta\nu$ (cm <sup>-1</sup> )	$\nu$ (cm <sup>-1</sup> ) $\Delta\nu$ (cm <sup>-1</sup> )	$\nu$ (cm <sup>-1</sup> ) $\Delta\nu$ (cm <sup>-1</sup> )	
$F_{2g}$	12	97.9	- -	- -	135	-37
	13	170.1	- -	- -	- -	- -
	14	203.7	208 -4	208 -4	212	-8
	C	230	230			
	15	266.9	272 -5	272 -5	- -	- -
	D	285				
	16	319	318 1	318 1	322	-3
	E		342			
	17	350.6	350 1	350 1	353	-2
	18	381.9	379 3	379 3	383	-1
	19	492.6	490 3	490 3	492	1
	20	513.5	510 4	510 4	512	2
	21	605.9	598 8	598 8	598	8
	22	655.3	648 7	648 7	650	5
	23	861	866 -5	866 -5	871	-10
	24	896.7	899 -2	899 -2	902	-5
	25	1068.4	1062 6	1062 6	1066	2

B3LYP overestimates the lattice parameter!

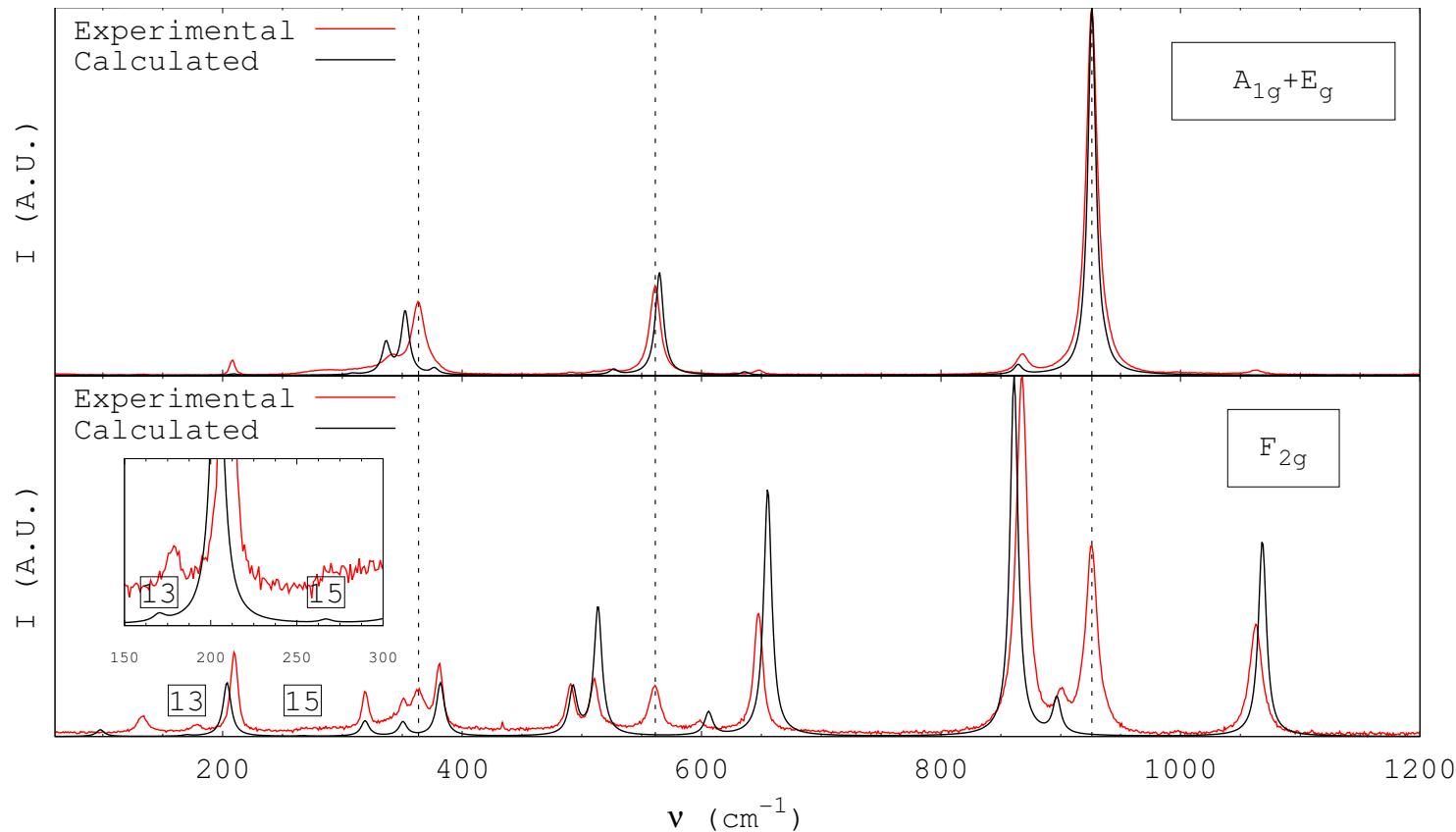
Frequency differences are evaluated with respect to **calculated data**.

**Hofmeister**: Hofmeister & Chopelas, *Phys. Chem. Min.*, 1991

**Chopelas**: Chaplin & Price & Ross, *Am. Mineral.*, 1998

**Kolesov**: Kolesov & Geiger, *Phys. Chem. Min.*, 1998

# ... and the RAMAN spectra!



$A_{1g}$  peaks also in  $F_{2g}$  spectrum caused by the presence of different crystal orientations and/or rotation of the polarized light.

# High-order dielectric properties of solids

# The total energy of a crystal in an electric field

The total energy ( $E_{tot}$ ) of a crystal (or a molecule) in a “weak” electric field ( $\varepsilon$ ) can be expressed as a perturbative series of the field components plus the total energy of the field-free system ( $E_{tot}^0$ ):

$$E_{tot}(\varepsilon) = E_{tot}^0 - \mu \cdot \varepsilon - \frac{1}{2!} \alpha \varepsilon^2 - \frac{1}{3!} \beta \varepsilon^3 - \frac{1}{4!} \gamma \varepsilon^4 - \dots$$

$$\mu_t = - \left( \frac{\partial E_{tot}}{\partial \varepsilon_t} \right)_{\varepsilon=0}$$

dipole moment

$$\alpha_{tu} = - \left( \frac{\partial^2 E_{tot}}{\partial \varepsilon_t \partial \varepsilon_u} \right)_{\varepsilon=0}$$

polarizability

$$\beta_{tuv} = - \left( \frac{\partial^3 E_{tot}}{\partial \varepsilon_t \partial \varepsilon_u \partial \varepsilon_v} \right)_{\varepsilon=0}$$

first-order hyperpolarizability

$$\gamma_{tuvw} = - \left( \frac{\partial^4 E_{tot}}{\partial \varepsilon_t \partial \varepsilon_u \partial \varepsilon_v \partial \varepsilon_w} \right)_{\varepsilon=0}$$

second-order hyperpolarizability

The effect of a low-intensity high-frequency electric field ( $\varepsilon$ ) applied to a crystal within the periodic boundary conditions can be represented by the following perturbative term in the Hamiltonian operator:

$$\Omega(\varepsilon, \mathbf{k}) = \varepsilon \cdot [\mathbf{r} + i \nabla_{\mathbf{k}}]$$

position operator      gradient in  $\mathbf{k}$  space

$\Omega$  depends on  $\mathbf{k}$ ,  
any point in the  
reciprocal space

# Static polarizability and hyperpolarizabilities

$$\alpha_{tu} = -\frac{1}{n_k} \sum_{\mathbf{k}}^{\text{BZ}} \sum_j^{\text{occ}} \hat{P} \left[ W_{jj}^{(tu)} + \sum_{\ell}^{\text{virt}} \left( G_{j\ell}^{(t)} U_{\ell j}^{(u)} - U_{j\ell}^{(u)} G_{\ell j}^{(t)} - U_{j\ell}^{(u)\dagger} W_{\ell j}^{(t)} - W_{j\ell}^{(t)} U_{\ell j}^{(u)} \right) - W_{jj}^{(tu)} \right] =$$

$$= -\frac{2}{n_k} \sum_{\mathbf{k}}^{\text{BZ}} \sum_j^{\text{occ}} \sum_{\ell}^{\text{virt}} \hat{P} \Re \left[ \Xi_{j\ell}^{(t)} U_{\ell j}^{(u)} \right]$$

$$\beta_{tuv} = -\frac{1}{n_k} \sum_{\mathbf{k}}^{\text{BZ}} \sum_j^{\text{occ}} \sum_{\ell}^{\text{virt}} \hat{P} \Re \left[ G_{j\ell}^{(t)} U_{\ell j}^{(uv)} - W_{j\ell}^{(tu)} U_{\ell j}^{(v)} \right]$$

**n+1 formulation**

$$\beta_{tuv} = -\frac{2}{n_k} \sum_{\mathbf{k}}^{\text{BZ}} \sum_j^{\text{occ}} \sum_{\ell}^{\text{virt}} \hat{P} \Re \left[ U_{j\ell}^{\dagger(t)} \left( \sum_m^{\text{virt}} G_{\ell m}^{(u)} U_{mj}^{(v)} - \sum_m^{\text{occ}} U_{\ell m}^{(v)} G_{mj}^{(u)} + i \frac{\partial U_{\ell j}^{(v)}}{\partial k_u} \right) \right]$$

substitute for  $\mathbf{U}^{[2]}$

**2n+1 formulation**

$$\gamma_{tuvw} = -\frac{1}{n_k} \sum_{\mathbf{k}}^{\text{BZ}} \sum_j^{\text{occ}} \sum_{\ell}^{\text{virt}} \hat{P} \Re \left[ U_{j\ell}^{\dagger(t)} \left( \sum_m^{\text{all}} G_{\ell m}^{(u)} U_{mj}^{(vw)} - \sum_m^{\text{occ}} U_{\ell m}^{(vw)} G_{mj}^{(u)} + \frac{1}{2} \sum_m^{\text{virt}} W_{\ell m}^{(uv)} U_{mj}^{(w)} - \frac{1}{2} \sum_m^{\text{virt}} U_{\ell m}^{(w)} E_{mj}^{(uv)} \right) + i U_{j\ell}^{\dagger(vw)} \frac{\partial U_{\ell j}^{(t)}}{\partial k_u} \right]$$

**2n+1 formulation**

# Dielectric properties

Polarizability ( $\alpha$ ) and hyperpolarizability ( $\beta$  and  $\gamma$ ) tensors are related to other tensors:

$V$  = unit cell volume

$$\chi_{tu}^{(1)} = \frac{4\pi}{V} \alpha_{tu} \quad \text{first-order electric susceptibility}$$

$\delta$  = Kronecker delta

$$\epsilon_{tu} = \delta_{tu} + \chi_{tu}^{(1)} \quad \text{dielectric tensor}$$

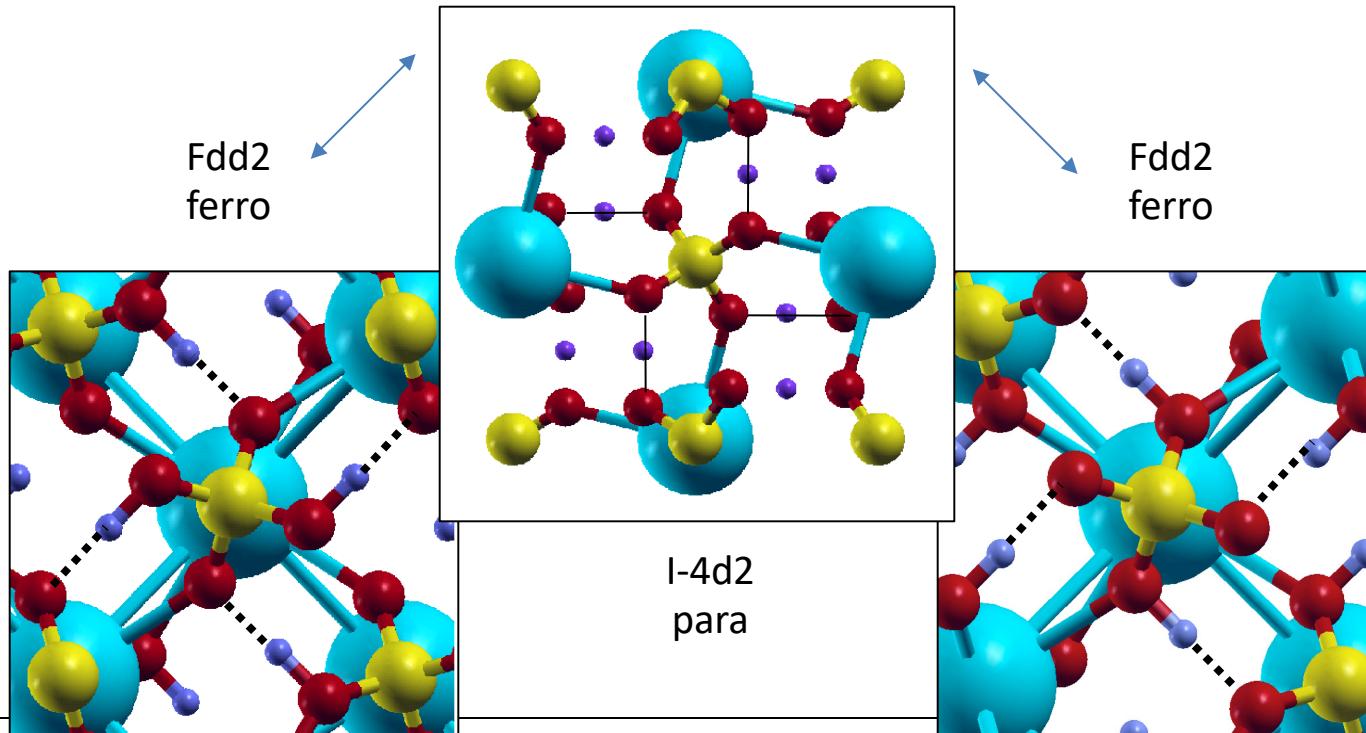
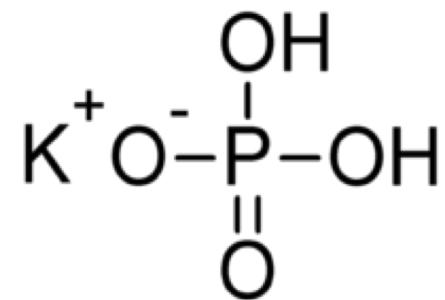
$$\chi_{tuv}^{(2)} = \frac{2\pi}{V} \beta_{tuv} \quad \text{second-order electric susceptibility}$$

$$d_{tuv} = \frac{\chi_{tuv}^{(2)}}{2} \quad \text{second-harmonic generation (SHG) electric susceptibility}$$

$$\chi_{tuvw}^{(3)} = \frac{2\pi}{3V} \gamma_{tuvw} \quad \text{third-order electric susceptibility}$$

# Potassium Di-hydrogen Phosphate KDP

- Chemical formula:  $\text{KH}_2\text{PO}_4$
- NonLinear Optic Properties (NLO):  
the electric polarization (P) shows a NON LINEAR optic response to the applied electric field (F).
- Ferroelectric Phase Transition (PARA->FERRO) at  $123^\circ \text{ K}$



# Potassium Di-hydrogen Phosphate KDP

## Tetragonal (I-4d2)

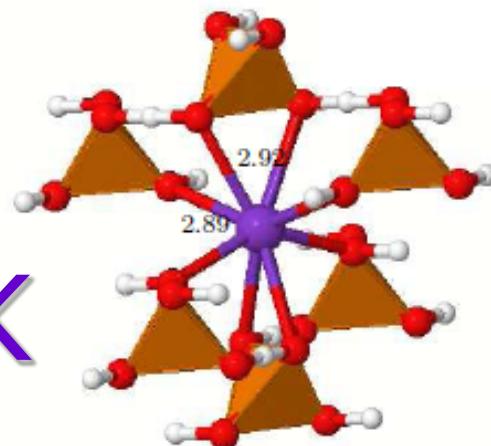
- Symmetric H-bonds
- Above  $T_c$ : DISORDER, protons move along the H-bond (PE)
- Transition state as documented by negative frequencies.

## Orthorhombic (Fdd2)

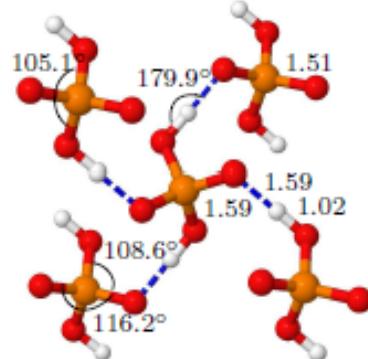
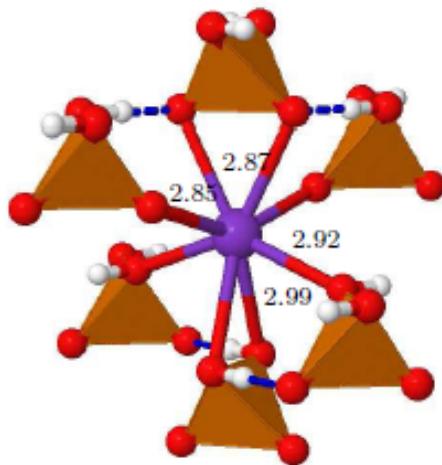
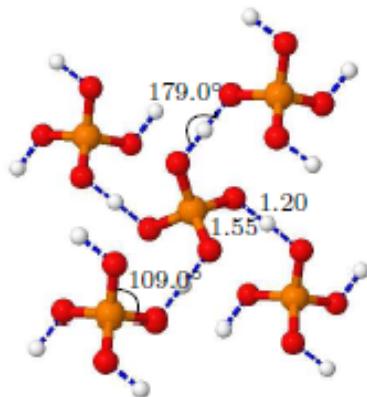
- Protonic Trasfer
- Below  $T_c$ : ORDER, protons fixed in ferroelectric domains (FE)
- Real minimum: all frequencies are positive

# Potassium Di-hydrogen Phosphate KDP

K



P



	I-4d2 (Exp)	Fdd2 (Exp.)
a	7.44 (7.44)	10.56 (10.53)
b	7.44 (7.44)	10.67 (10.44)
c	6.95 (6.97)	6.98 ( 6.90 )
H-O <sub>1</sub>	1.19 (1.25)	1.03 ( 1.05 )
H-O <sub>2</sub>	1.19 (1.25)	1.48 ( 1.44 )
P-O <sub>1</sub>	1.54 (1.54)	1.58 ( 1.59 )
P-O <sub>2</sub>	1.54 (1.54)	1.51 ( 1.50 )

OPTGEOM: PBE0 [1]

1. V. Lacivita, M. Rérat, B. Kirtman, M. Ferrero, R. Orlando and R. Dovesi, J. Chem. Phys. 2009.

# Potassium Di-hydrogen Phosphate KDP

		I-4d2 (Exp)	Fdd2 (Exp)
Dielectric Tensor (adimensional)	$\epsilon_{xx}$	2.23 (2.24)	2.18(2.26)
	$\epsilon_{yy}$	2.23 (2.24)	2.20(2.30)
	$\epsilon_{zz}$	2.05 (2.13)	2.06(2.16)
Second Harmonic Generation (SHG) coefficients (pm/V)	$d_{xxz}$	0 (0)	0,389
	$d_{yyz}$	0 (0)	-0,255
	$d_{zzz}$	0 (0)	-0,018
	$d_{xyz}$	0.37 (0.39)	0
Energy gap (eV)	G	7.99 (7.12)	7,97

CPHF: B3LYP, Exp. geom.

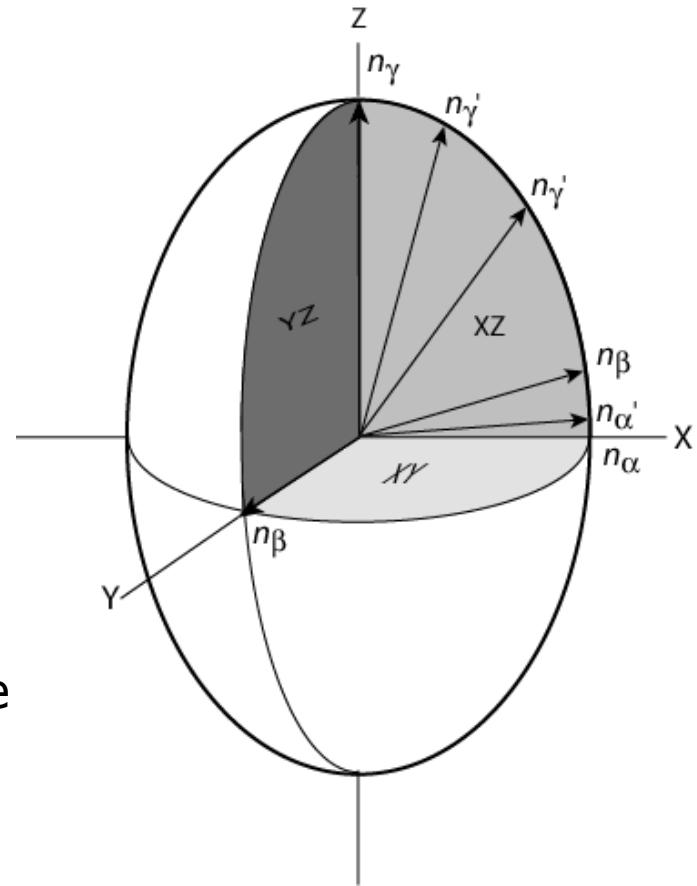
1. V. Lacivita, M. Rérat, B. Kirtman, M. Ferrero, R. Orlando and R. Dovesi, J. Chem. Phys. 2009.

# Potassium Di-hydrogen Phosphate KDP

## Dielectric Tensor and Optical Indicatrix

$$n = \sqrt{\epsilon}$$

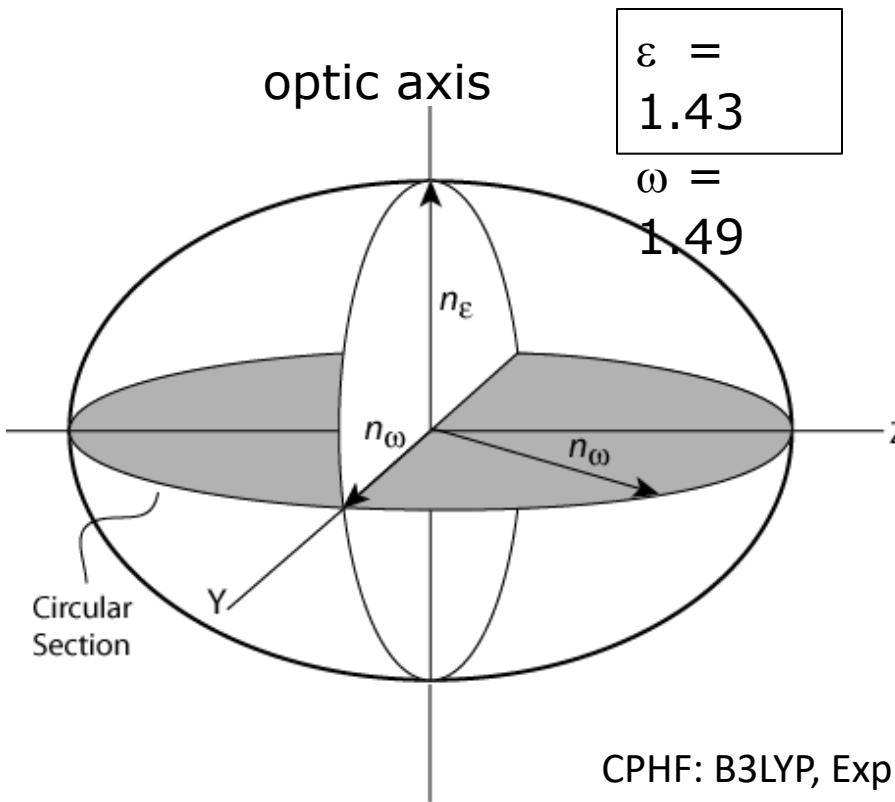
- DIAGONALIZATION ->  
**PRINCIPAL REFRACTIVE INDICES**  
( $\alpha \leq \beta \leq \gamma$ )
- **BIREFRINGENCE:**  $B = \gamma - \alpha (\neq 0)$
- OPTICAL CLASSES:
  - 1) **MONOAXIAL** = one monorefringence direction (one optical axis)
  - 2) **BIAXIAL** = two monorefringence directions (two optical axes)



# Potassium Di-hydrogen Phosphate KDP

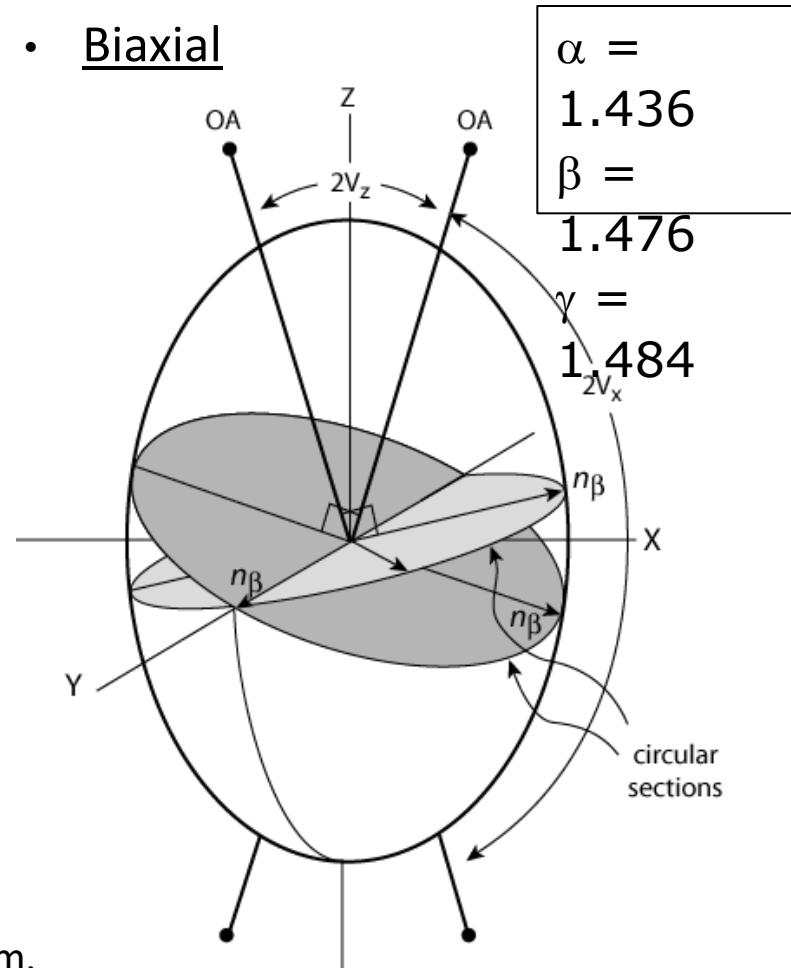
## Tetragonal (I-4d2)

- Monoaxial
- Oblate optical indicatrix



## Orthorhombic (Fdd2)

- Biaxial



# Nanotubes

# Nanotubes

What's new in the implemented method?

The **exploitation of the high point symmetry**  
in helical 1D systems allows to  
**dramatically reduce the computational cost**  
**and automatically build nanotubes**  
from 2D and 3D structures.

Y. Noël, P. D'Arco, R. Demichelis, C. M. Zicovich-Wilson, R. Dovesi; J. Comput. Chem., 2010, 31, 855-862

Why?

Nanotube ab initio simulation is, in general, **expensive**:  
the unit cell can contain hundreds or thousands of atoms.

**QM ab initio calculation of nanotubes with large basis sets and hybrid functionals: POSSIBLE AND NOT EXPENSIVE**

# Nanotubes

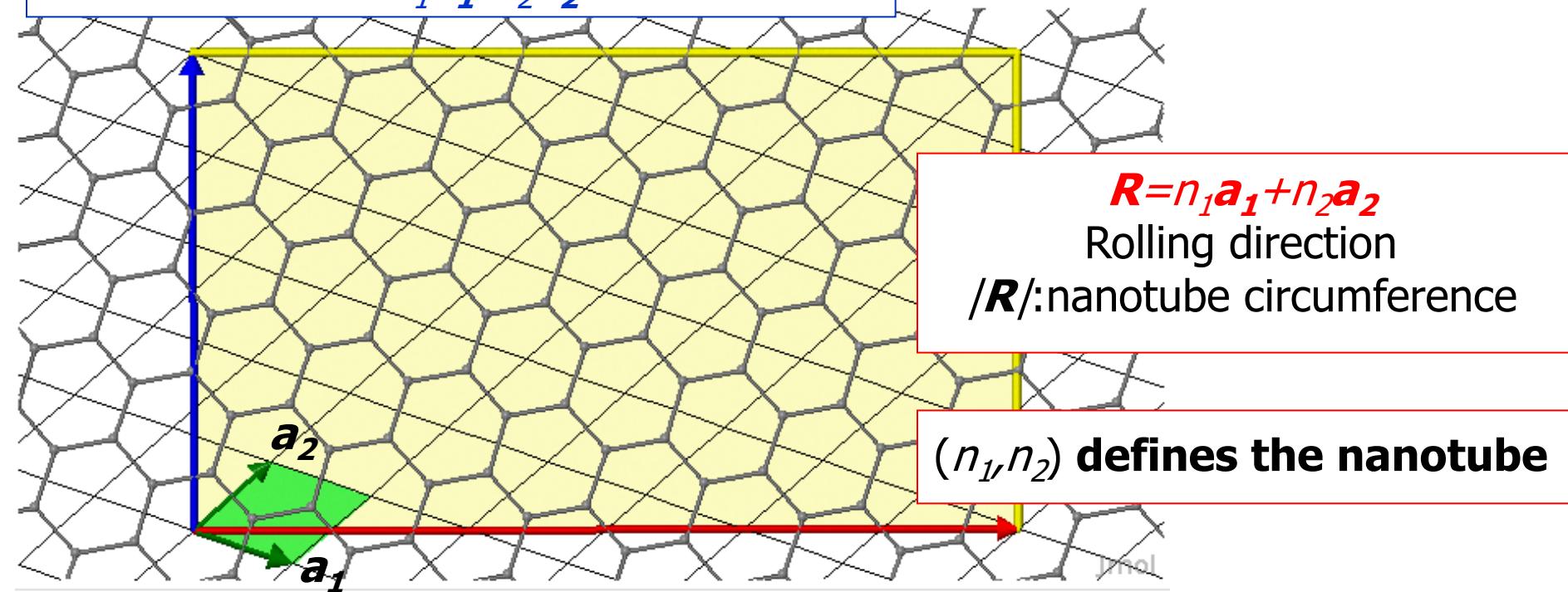
## Automatic Construction of a Nanotube from 2D Structures

(CRYSTAL can automatically cut 2D layers from 3D structures)

We start from 2D graphene, a simple case ---> C nanotube (CNT).

Shortest lattice vector perpendicular to  $\mathbf{R}$ :

$$\mathbf{L} = l_1 \mathbf{a}_1 + l_2 \mathbf{a}_2$$



\* Noël, D'Arco, Demichelis, Zicovich-Wilson, Dovesi; J. Comput. Chem., 2010, 31, 855-862

# Nanotubes

## Exploitation of the High Point Symmetry of Nanotubes

A CNT unit cell can contain hundreds of atoms

**BUT**

ONLY 2 IRREDUCIBLE ATOMS WITH HELICAL SYMMETRY EXPLOITATION

### EXAMPLE: frequency calculation of the (24,0) SWCNT

(96 atoms in the unit cell)

#### FREQUENCY CALCULATION:

- equilibrium geometry
- displacement of each atom along the 3 Cartesian directions

**96x3+1=289 SCF calculations**

If the calculation is performed on 2 irreducible atoms:

**2x3+1=7 SCF calculations (helical symmetry exploitation)**

\* Noël, D'Arco, Demichelis, Zicovich-Wilson, Dovesi; J. Comput. Chem., 2010, 31, 855-862

# Nanotubes

## Exploitation of the High Point Symmetry of Nanotubes

The helical symmetry of nanotubes is then exploited at three levels:

1 - Automatic generation of the nanotube starting from a 2D structure

- ✓ Easy to use
- ✓ Thick slabs can be treated
- ✓ Geometry guess for nanotubes

```
Build the (4,2) SWCNT
CRYSTAL
0 0 0
186
2.47 6.70
2
6 0.000000 0.000000 0.000000
6 0.333333 0.666667 0.000000
SLAB
0 0 1
1 1
SWCNT
4 2
```

3D geometry

Cut a slab

Build nanotube

\* Noël, D'Arco, Demichelis, Zicovich-Wilson, Dovesi; J. Comput. Chem., 2010, 31, 855-862

# Nanotubes

## Time Scaling

### Single SCF step of (n,0) SW-CNT, n=8 to 24

N(AOs) : 704 to 2112

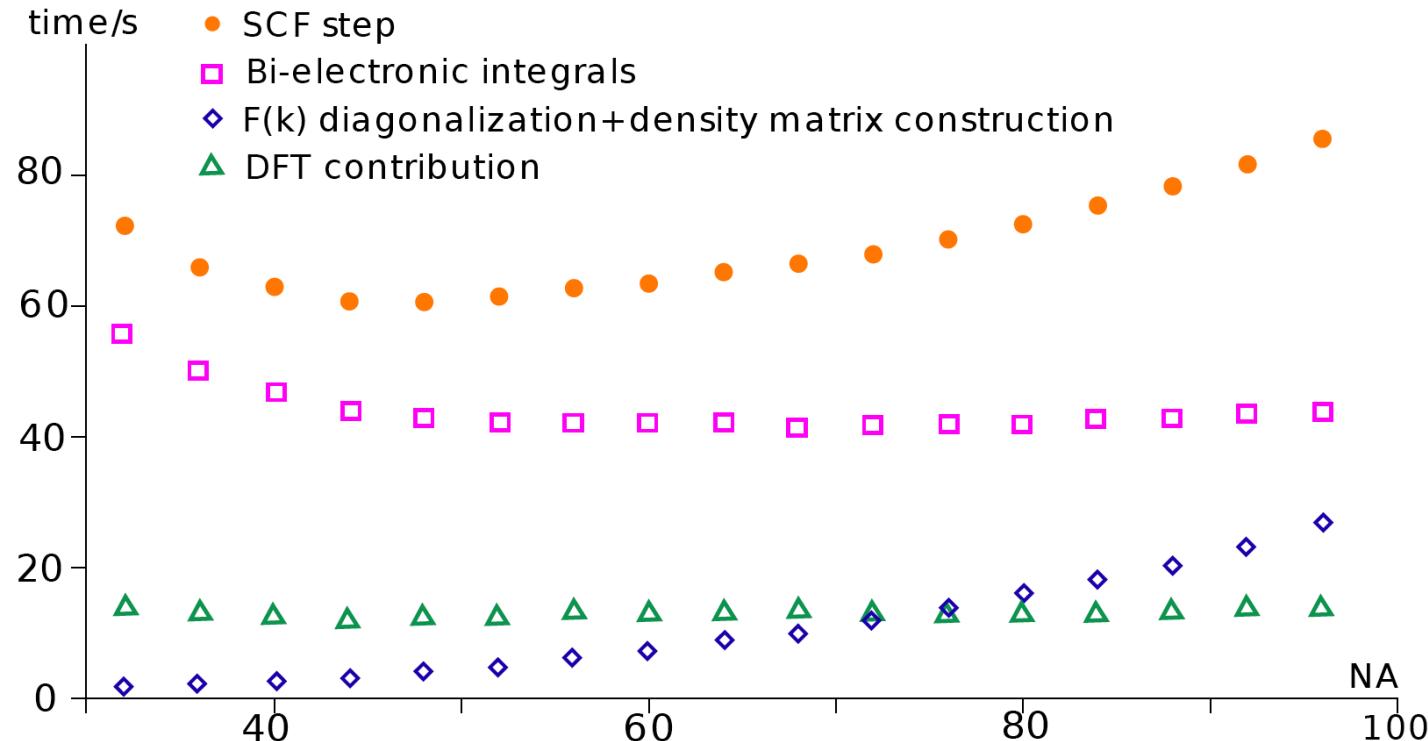
Symmetry operators (Ns): 16 to 48

Time (24,0) < 1.2 Time (8,0)

**Gradient:** same behavior as bi-electronic integrals, cost from (12,0) to (24,0) varies by less than 1%

**Size** of irreducible Fock matrix **roughly constant**

B3LYP, 6-1111G\*  
single processor Intel Xeon  
1.86GHz, RAM 8Gb



SCF cost increases (slowly) for three reasons:

- 1- Diagonalization scales linearly with Ns.
- 2- AO→Bloch→SACO and back transformations to AO basis for building the density matrix scale close to  $N(\text{AOs}) * N(\text{AOs})$ .
- 3- Overhead for symmetry analysis increases with Ns

\* Noël, D'Arco, Demichelis, Zicovich-Wilson, Dovesi; J. Comput. Chem., 2010, 31, 855-862

# Nanotubes

## Time Scaling

- NANORE (SWCNTRE): build a  $(n_1, n_2)$  nanotube from the structure of another one

"old" nanotube unrolled and re-rolled according to a new  $\mathbf{R}$  vector, with minor modifications to the structure.

### EXAMPLE: geometry optimisation of imogolite

$\text{Al}_2(\text{OH})_3\text{SiO}_3\text{OH}$ , tubular hydrated aluminosilicate

(thick slabs, large systems, tube and slab geometries very different)

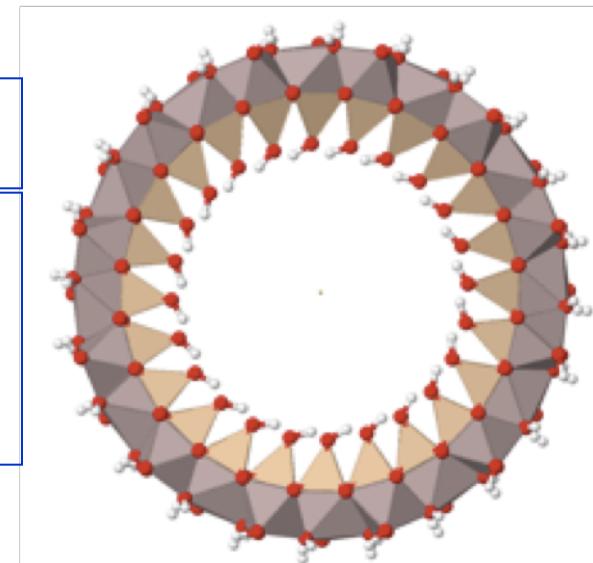
starting structure for the optimisation  
(the optimised slab or a previously optimised tube)

ATOMS	Beginning	Number of SCF+gradient	$\Delta E$	$\delta E$
(8,0)	224	(9,0)	22	-47.8
(9,0)	252	(10,0)	20	-52.1
(10,0)	280	slab	<b>46</b>	-52.6
(11,0)	308	(10,0)	19	-51.8
(12,0)	336	(11,0)	16	-50.8

$\Delta E$ : Energy difference with respect to slab, kJ/mol per fu

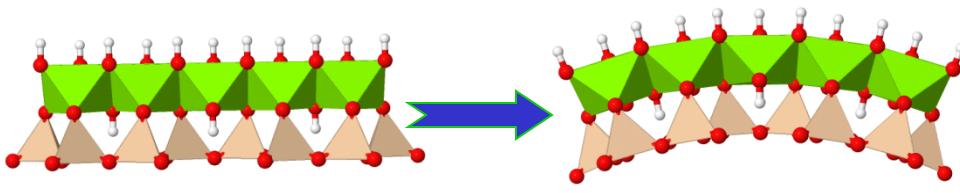
$\delta E$ : Energy relaxation after rigid unrolling and re-rolling , kJ/mol per fu

\* Demichelis, Noël, D'Arco, Maschio, Orlando, Dovesi; submitted for publication



# Nanotubes

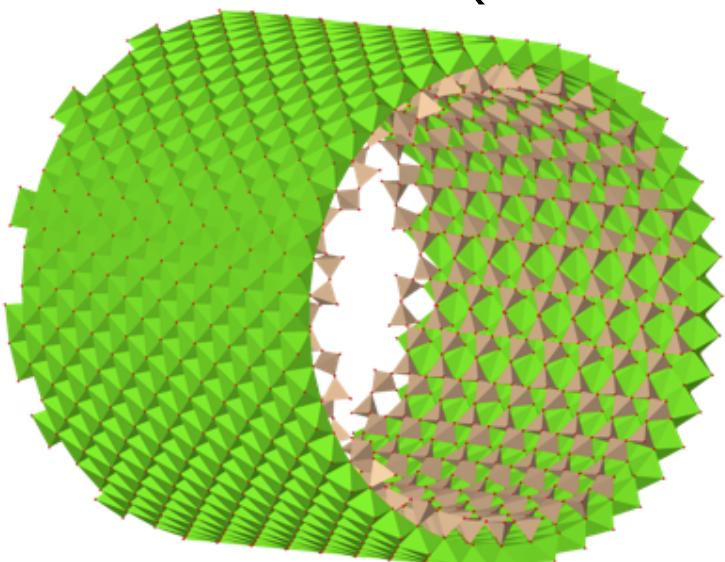
## Inorganic Nanotubes: the Case of Chrysotile



FIRST AB INITIO SIMULATION  
OF SINGLE LAYER CHRYSOTILE  
(smallest fibre in the nature  
~1000 atoms in the unit cell)

**"White" asbestos:  
wrapping of lizardite - phyllosilicate, Mg<sub>3</sub>Si<sub>2</sub>O<sub>5</sub>(OH)<sub>4</sub>**

- brucite-type octahedral sheet (MgO<sub>6</sub> octahedra sharing edges)
- tetrahedral sheet (vertices-sharing SiO<sub>4</sub> tetrahedra forming hexagonal motif)



Brucite-like slab : lattice parameter 5.43 Å  
SiO<sub>3</sub>(OH)<sub>2</sub> slab : lattice parameter 5.32 Å  
Lizardite slab : lattice parameter 5.37 Å

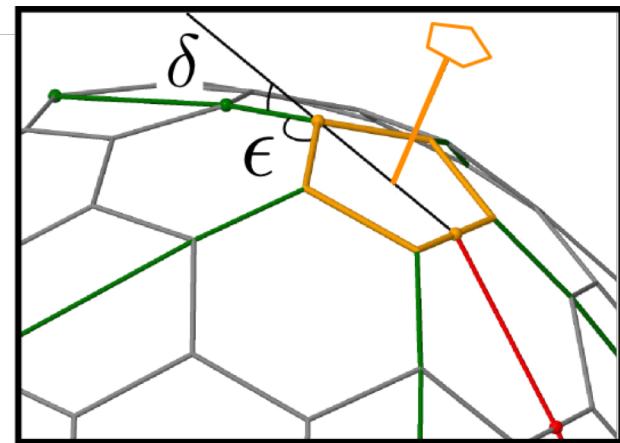
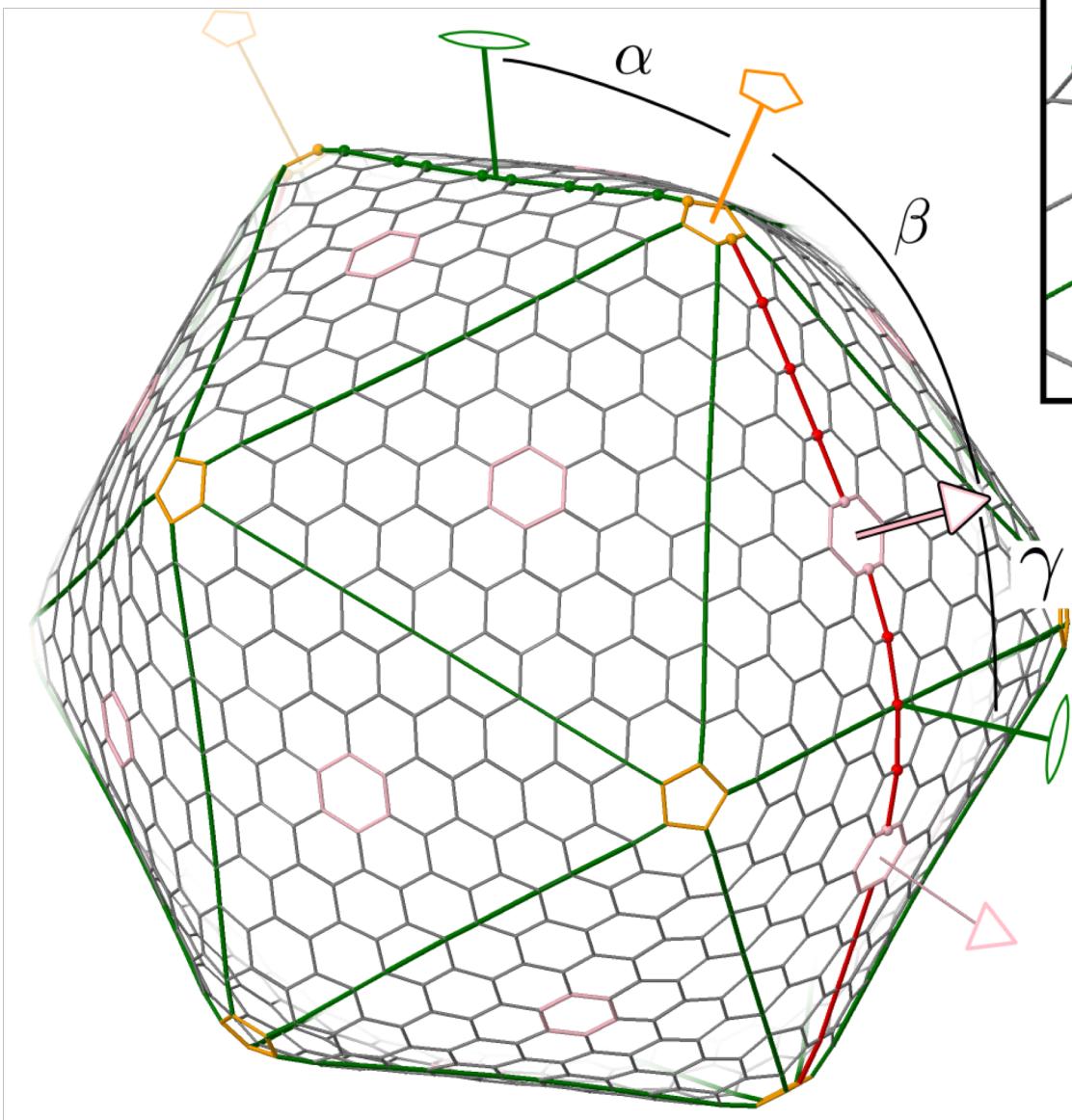
The **misfit** might be one of the main responsible for chrysotile **curling**. Octahedral external wall is allowed to expand and tetrahedral wall to contract.

\* D'Arco, Noël, Demichelis, Dovesi; J. Chem. Phys., 2009, 131, 204701

# FULLERENES

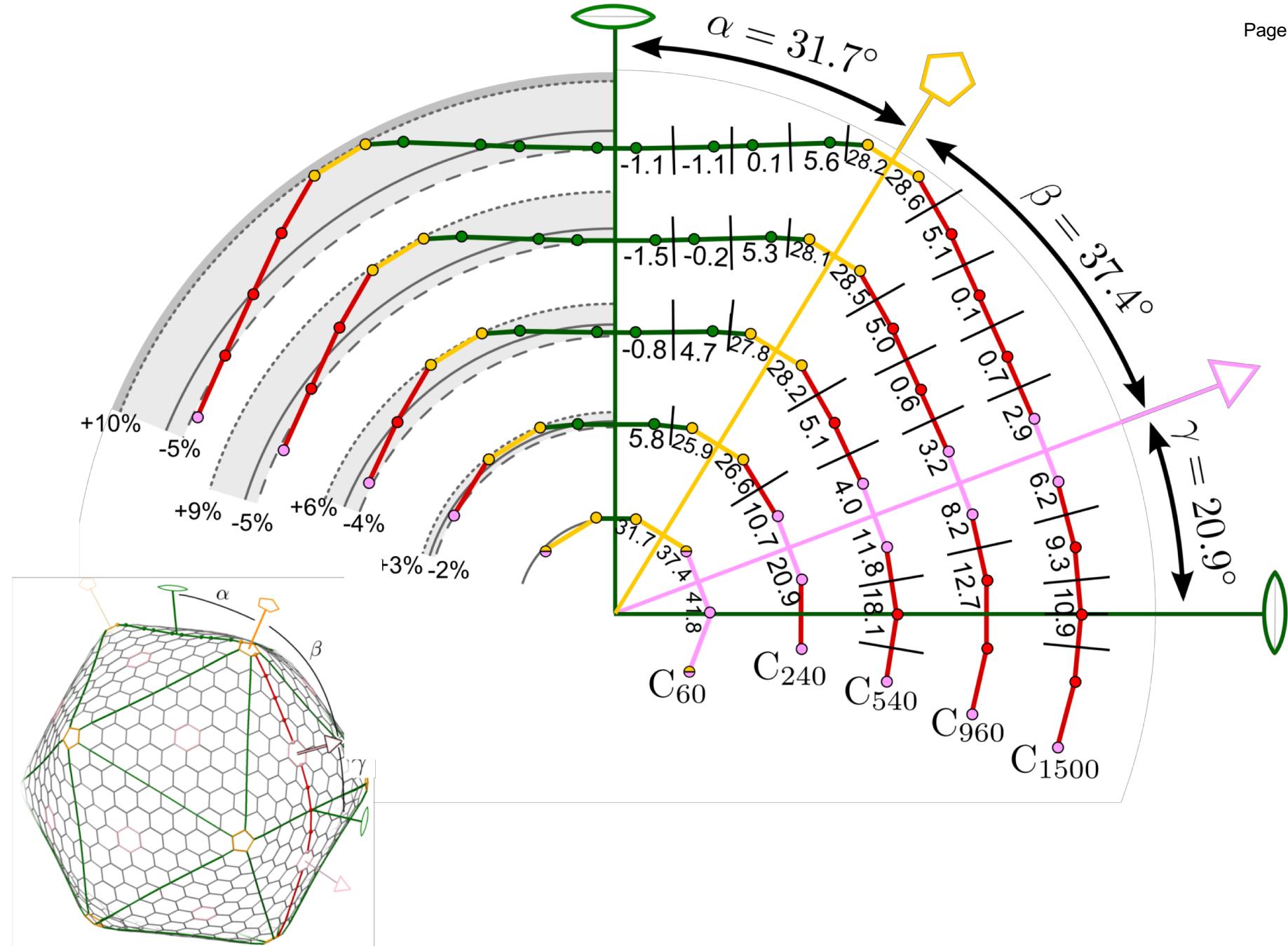
# Input again: general!!

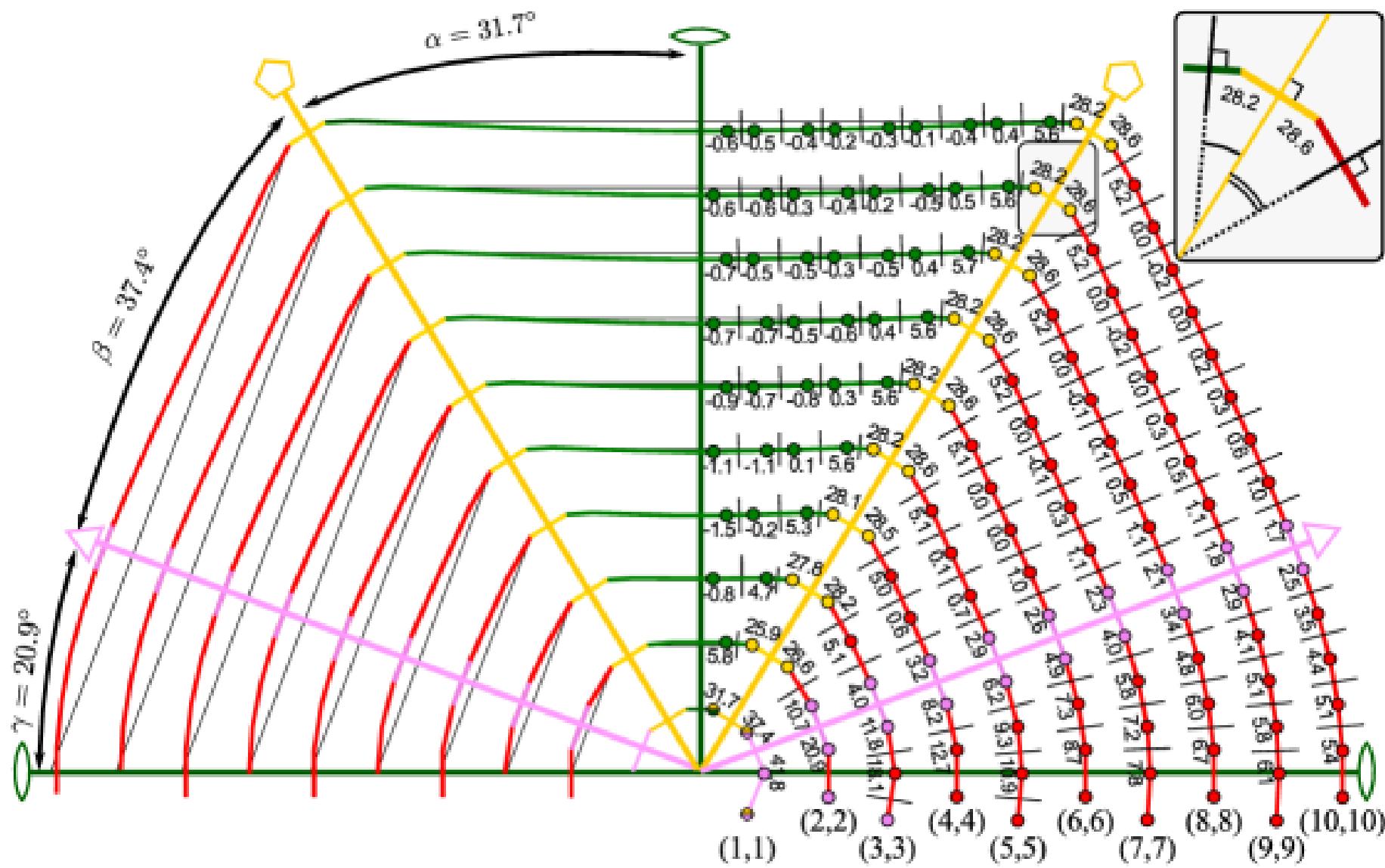
```
SLAB
77
2.4612
1
6 -0.333333333333 0.333333333333 0.
FULLE
2 2
IH
ICOSA
...
```



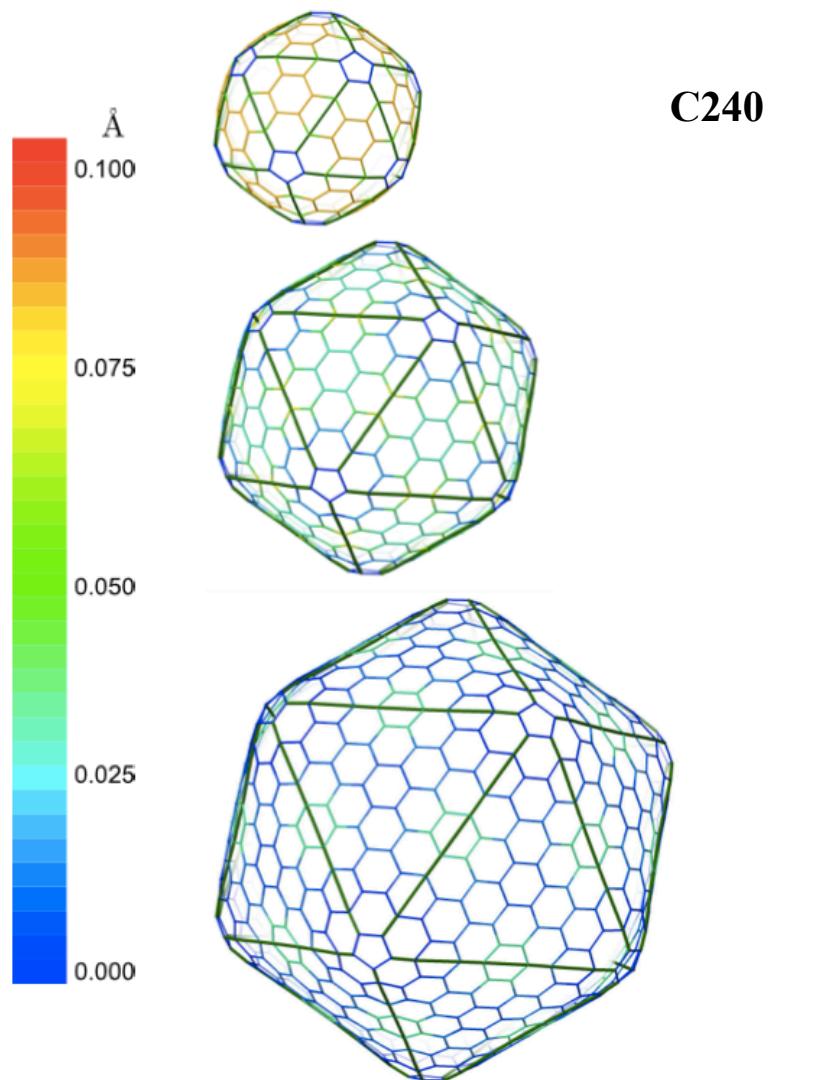
Some (in pink) hexagons (or pentagons in orange) cannot change their orientation; it is fixed by symmetry

C1500  
fullerene





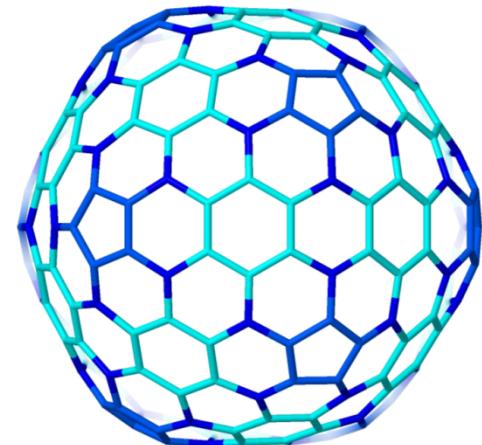
# Comparison of optimized structures



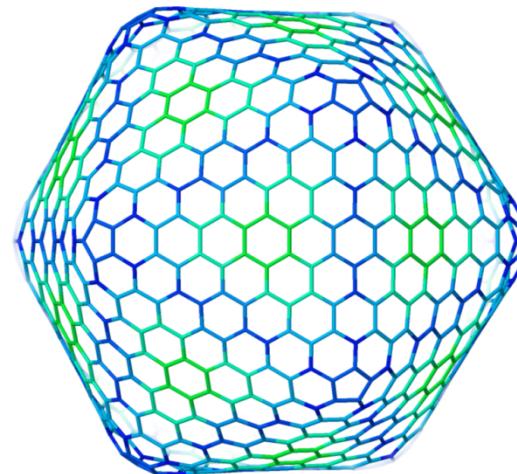
C<sub>540</sub>

Each fullerene is compared to the  
C<sub>1500</sub>. The center of pentagons  
have been taken as reference.

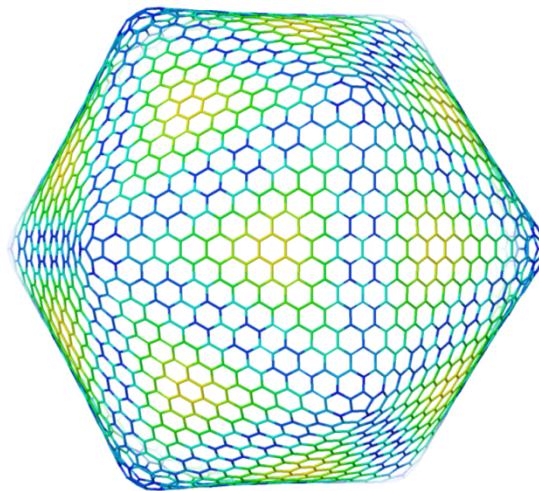
C<sub>960</sub>



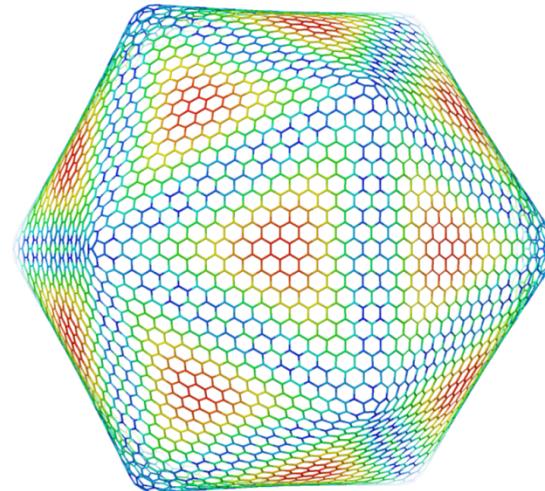
(2,2)



(4,4)



(7,7)



(10,10)

# FULLERENES: size of the matrices

(n,n)	n <sub>at</sub>	N <sub>at</sub>	N <sub>AO</sub>	S <sub>FIRR</sub>	S <sub>FRED</sub>	R <sub>1</sub>	R <sub>2</sub>	R <sub>4</sub>
(1,1)	1	60	840	1759	169'980	97	401	22
(2,2)	3	240	3360	6707	716'130	107	1683	23
(3,3)	6	540	7560	14570	1'609'020	110	3923	23
(4,4)	10	960	13440	25377	2'847'690	112	7118	23
(6,6)	21	2160	30240	55661	6'362'370	114	16429	24
(8,8)	36	3840	53760	97559	11'260'170	115	29625	24
(10,10)	55	6000	84000	151071	17'541'090	116	46707	24

$n_{at}$  = number of irreducible atoms,

$N_{at}$  = number of atoms,

$N_{AO}$  = number of AO

$S_{FIR}$  ( $S_{FRED}$ ) = size of the irreducible (reducible) **compact** Fock matrix.

$R_1$ ,  $R_2$  and  $R_4$  =  $S_{FRED}/S_{FIRR}$ ,  $N_{AO}^2/S_{FIRR}$  and  $N_{AO}/MAX_{IR}$ .

$N_{op}$  = 120

$N_{IR}$  = 10

	<b>t</b>	<b>(1,1)</b>	<b>(4,4)</b>	<b>(6,6)</b>	<b>(8,8)*</b>	<b>(10,10)*</b>
A.	<i>init</i>	26.36	64.92	184.54	418.64	825.41
"	<i>over</i>	81.40	8.11	22.36	56.73	135.85
B.	<i>pole</i>	326.15	6.64	14.76	26.51	40.77
"	<i>biel</i>	8.34	154.46	578.06	1141.61	1885.74
"	<i>mono</i>	0.02	4.45	21.98	68.70	166.01
C.	<i>fock</i>	0.16	7.71	18.91	36.27	56.31
D.	<i>diag</i>	0.1	2.51	26.20	183.42	729.50
E.	<i>dens</i>	1.23	93.02	233.02	441.63	740.14
F.	<i>dft</i>	5.50	55.16	126.18	437.99	702.36
	$TOT_{cyc}$	15.67	323.97	1019.14	2336.16	4320.87
	$TOT_{SCF}$	1265.23	6552.43	20589.74	47198.57	87378.66
	<i>grad</i>	83.99	1253.55	3191.33	10088.28	16170.70

### Time (in seconds): ONE CORE

construction of symmetry group and transformation matrices (*init*), construction of the overlap matrix (*over*), calculation of multipole (*pole*), bi- (*biel*) and mono- (*mono*) electronic integrals, transformation of f into F (*fock*), Fock matrix diagonalization (*diag*), construction and back transformation of the density matrix (*dens*), calculation of the electron density over the DFT grid (*dft*), a single SCF cycle ( $TOT_{cyc}$ , from B. to F.), the entire SCF procedure ( $TOT_{SCF}$ , 20 SCF cycles considered), calculation of the gradient for geometry optimization (*grad*). Calculations from (7,7) on (marked with an asterisk) were performed using the "low memory" option.